

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
1 April 2004 (01.04.2004)

PCT

(10) International Publication Number  
**WO 2004/028170 A2**

(51) International Patent Classification<sup>7</sup>:

H04Q

(21) International Application Number:

PCT/IB2003/004331

(22) International Filing Date:

3 September 2003 (03.09.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

0222045.7 23 September 2002 (23.09.2002) GB

(71) Applicant (*for all designated States except US*): **NOKIA CORPORATION** [FI/FI]; Keilalahdentie 4, FIN-02150 ESPOO (FI).

(72) Inventor; and

(75) Inventor/Applicant (*for US only*): **SPIRITO, Maurizio** [IT/FI]; Apollonkatu 4 A 19, FIN-00100 Helsinki (FI).

(74) Agents: **SLINGSBY, Philip, Roy et al.**; Page White & Farrer, 54 Doughty Street, London WC1N 2LS (GB).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— *without international search report and to be republished upon receipt of that report*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: **TERMINAL LOCATION**

(57) Abstract: A method for locating a mobile terminal in a communications network, the method comprising the steps of: applying one of a plurality of available methods to estimate a location of the mobile terminal; and applying one of a plurality of available methods to calculate a region around the estimated location in which the mobile terminal could be located.



WO 2004/028170 A2

## TERMINAL LOCATION

The present invention relates to a method and an apparatus for locating a mobile terminal in a communications network, particularly but not exclusively using multiple sources of information.

The ability to pinpoint the location of mobile terminals is a desirable feature of a mobile telephone network. This is because of the need to provide customer services which rely on knowing the whereabouts of users of these services. For example, up-to-date local traffic information can be provided to enable a user to avoid nearby traffic jams. A user may also wish to know, for example, how to get to the nearest pub or restaurant from their present location. Clearly the location of the user must be ascertained to within even a few metres for this type of service to work.

Another reason for wishing to know the location of a mobile terminal is so that emergency services can locate a caller who is unable to provide an accurate personal location themselves.

It is known in a GSM mobile network to provide the location of a mobile telephone in terms of the cell of the network in which the telephone is located. Each cell contains one base station and a telephone is only ever in traffic communication with one base station at a given time. Hence the location of the telephone to an accuracy of the cell area can be determined simply by ascertaining with which base station the telephone is communicating. Such methods are known as cell-based location methods. Other methods can be combined with the cell identity, such as a triangulation system, in which the location of a particular mobile phone is calculated using control signals from at least the three base stations closest to it (two of which are located in adjacent cells to the cell in which the mobile telephone is located). This system uses the assumption that the distance of the phone from a base station is proportional to the strength of the signal which the base station receives from it, or the time taken for the signal to travel between the phone and the respective base station. Thus the position of the phone can be determined by

comparing the relative strengths or travel times of received signals between the three base stations and thus assessing the distance of the user from each base station. The actual location of the user is then obtainable geometrically since the location of the base stations is known and fixed.

In a 3GPP (3<sup>rd</sup> Generation Partnership Project) network using a Wideband Code Division Multiple Access (W-CDMA) signalling system, it is possible for a mobile terminal to be in active communication with more than one base station at any one time. This situation is known as "soft handover" and differs from (hard) handover in a GSM system, in which a mobile terminal is "handed over" from one base station to another as it moves between cells of the network. Because of the nature of the soft handover, the above-described cell-based mobile location procedures suitable for GSM can not always be used in a W-CDMA type signalling system. It would be desirable to provide a more reliable way of locating a mobile terminal in this type of signalling system.

In W-CDMA a "softer handover" is defined as well. In the case of "softer handover" the antennas of the base stations with which the mobile station is communicating are co-located (e.g. they are installed at the same physical location). In the remainder of this document, the term "soft handover" will be used also to cover the case of "softer handover", and it will be understood by those skilled in the art that the invention and the described embodiments thereof are applicable to a softer handover situation as well as a soft handover situation.

A problem associated with providing the location of a mobile terminal is that in order for the provided location to be meaningful and usable, the accuracy of the location provided must also be known. This is because it would be pointless, for example, to advise a user of the nearest restaurant to the location provided if the user's actual position could be within a range of several km. The accuracy can depend on a number of factors such as the type of base station antenna (e.g. omni-direction or directional), the cell size (i.e., the extension of the geographical region served by the base station) and

the density of network coverage (number of base stations per square km) in the area in which the mobile terminal is located. It would also be desirable to know the accuracy of the location of a mobile terminal provided.

According to the present invention there is provided a method as set out in claim 1.

Further preferred aspects of the invention are set out in the other claims.

Embodiments of the invention will now be described, by way of example only, with reference to the accompanying drawings in which :

Figure 1 shows schematically a mobile network divided into service areas

Figure 2 shows a flow chart for a mobile terminal location procedure in accordance with embodiments of the invention

Figure 3 shows diagrams representing various possible confidence calculation methods

Figure 4 shows the modelling of a cell of the network

Figure 5 shows two different approaches to evaluation of service area density

Figure 6 shows geometry for deriving a circular confidence region and a circular arc confidence region according to one embodiment of the invention

Figure 7 shows a circular confidence region calculation according to a second embodiment of the invention.

Figure 8 shows a polygonal confidence region generated according to a variation on the second embodiment of the invention.

Figure 9 shows how the location of a mobile terminal is obtained in accordance with a third embodiment of the invention

Figure 10 shows an elliptical and a polygonal confidence region according to variations on the third embodiment of the invention

Figure 11 shows a grid for use in another variation on the third embodiment of the invention.

Figure 1 shows schematically part of a Universal Mobile Telephone System (UMTS) Public Land Mobile Network (PLMN). The PLMN is indicated by reference numeral 1 and it will be appreciated that the network 1 extends well beyond the boundary drawn in the figure. Within the network 1 is shown a location area (LA) 2. The LA 2 is depicted as being circular but this is not necessarily the case in practice. There are in fact a number of location areas within the network 1 but the others are not shown. The location area 2 comprises a number of service areas 4, in this example four (4a-4d) as shown in figure 1 distinguished by different shading. Thus a Service Area (SA) is defined as a set of cells within a larger Location Area (LA). In the example of figure 1, each SA 4 comprises four cells 6, but an SA could comprise a different number of cells.

It can be seen that in figure 1 the cells 6 are hexagonal in shape and that consequently the shape of each SA 4 is that of four adjacent hexagons. This is a well-known approximation to the shape of real network cells.

The Service Area is identified with a parameter called Service Area Identifier (SAI). Thus the SAI can be used to identify one or more cells contained in the same Service Area. Each cell has a unique Cell Identity (CI) and has one base station via which mobile terminals can access the network 1. Any cell with which a mobile terminal has an active connection is termed a serving cell. A mobile terminal can have an active connection with more than one cell at any one time. This state is known as "soft handover".



The embodiments of the invention which will be described below are directed towards calculation of a location estimate and a "confidence region" associated with the location estimate of a User Equipment (UE). The various embodiments cover the use of single and multiple Cell Identity (CI) information. The approaches of the embodiments are suitable for application in the context of at least the following two available UMTS location methods :

- Service Area Identifier (SAI) location method
- Cell Identity and Round Trip Time (CI+RTT) location method

The system has a plurality of methods available to it which it can apply to the determined location so as to estimate a confidence region in which the mobile station could be located to a set probability,

Before describing the embodiments, these two location methods will be explained.

#### Service Area Identifier (SAI) Location Method

In the context of the SAI location method, embodiments of the invention perform location calculations based on the fact that the UE is in a certain Service Area. This method is intended to calculate the location estimate and the confidence region associated with the location estimate of a User Equipment (UE) which is reported to be within a known SA of the UMTS PLMN of interest.

The SAI identifying the SA to which a certain cell belongs is defined by the combination of three codes/identifiers, as follows:

$$\text{SAI} = \text{PLMN-Id} + \text{LAC} + \text{SAC}$$

where:

PLMN-Id: PLMN Identifier, defined in turn by the combination of

- MCC: Mobile Country Code (common to all cells of the whole PLMN)
- MNC: Mobile Network Code (common to all cells of the whole PLMN)

LAC: Location Area Code

SAC: Service Area Code

Any given cell may belong to one or two Service Areas. When it belongs to two Service Areas, one is applicable in the broadcast (BC) domain and the other is applicable in both the CS (Circuit Switched) and PS (Packet Switched) domains of the network. A Service Area in the BC domain consists of only one cell, whereas such limitation does not apply in general to CS and PS domains.

The SAI defined in the CS and PS domains (and not the one defined in the BC domain) can be used to indicate the location of a UE to the Core Network (CN) for LCS (LoCation Services) purposes. In UMTS, this is done by the Serving Radio Network Controller (S-RNC) which, when requested, maps the Cell Identity (CI) of the serving cell into the SAI identifying the Service Area to which the serving cell belongs, and sends the SAI to the CN by means of the RANAP signalling over the Iu interface.

In general terms, the SAI location calculation algorithm can be used to estimate geographical coordinates and associated confidence region of a UE when the only location information available is the identity of cells in the Service Area of the serving cell (or cells, when the UE is in soft handover).

#### Cell Identity and Round Trip Time (CI + RTT) Location Method

In the context of a CI+RTT location method, embodiments of the invention perform location calculations based on the fact that when no RTT or RxTxTD measurements (which measurements are in principle available in

CI+RTT location method, see below) are used or can be used, information from multiple serving cells can be combined to perform location calculations. This is possible in a W-CDMA type network due to the soft handover functionality mentioned above.

The CI+RTT location method in UMTS relies on the availability of Round Trip Time (RTT) and Rx-Tx Time Difference (RxTxTD) measurements. RTT and RxTxTD measurements are introduced in UMTS FDD (Frequency Division Duplex) to allow the implementation of the CI+RTT location method.

The RTT is defined as  $RTT = T_{RX}^{UL} - T_{TX}^{DL}$ , where  $T_{TX}^{DL}$  is the time of transmission of the beginning of a downlink dedicated physical channel (DPCH) frame to a User Equipment (UE) and  $T_{RX}^{UL}$  is the time of reception of the beginning (the first detected path, in time) of the corresponding uplink DPCCH (Dedicated Physical Control Channel)/DPDCH (Dedicated Physical Data Channel) frame from the UE.

The  $RxTxTD = T_{TX}^{UL} - T_{RX}^{DL}$  is the difference in time between the UE uplink DPCCH/DPDCH frame transmission ( $T_{TX}^{UL}$ ) and the first detected path (in time) of the downlink DPCH frame from the measured radio link ( $T_{RX}^{DL}$ ).

RTTs are measured by the base stations, RxTxTDs are measured by the UE.

By combining a pair of RTT and RxTxTD measurements referred to the same base station the distance between the UE and that base station can be estimated. Such distance estimate is analogous to the distance estimate that can be obtained from one Timing Advance (TA) in GSM. In this sense, the CI+RTT location method corresponds to the Cell Identity + Timing Advance (CI+TA) location method in GSM. However, two



particular features of UMTS FDD make the CI+RTT method potentially more accurate than the CI+TA method in GSM :

1. The much shorter UMTS chip period as compared to the GSM bit period affects the resolution with which a distance estimate can be determined from a TA in GSM or from an (RTT, RxTxTD) pair in UMTS. One GSM bit period is equivalent to approximately 1100 meters while one UMTS chip period is equivalent to approximately 80 meters, thus the distance measurements resolution in UMTS is better than in GSM.
2. In UMTS a UE can be in soft handover. UMTS standards require that RTTs and RxTxTDs are measured for each active radio link, thus multiple distance estimates can be potentially available for locating one UE in UMTS. In GSM this is not possible since the TA is available only for the unique serving cell.

In the CI+RTT location method the unknown geographical coordinates of the UE whose position it is desired to determine are estimated by combining absolute distance measurements between the UE and the base stations in the active set. Each absolute distance measurement is calculated from each (RTT, RxTxTD) pair.

In real applications it may happen that no (RTT, RxTxTD) pairs are available for location calculation. This may be due for instance to a measurement process failure or to a UE not supporting RxTxTD measurements. In such circumstances the CI+RTT location method can still perform successfully a location calculation only on the basis of the knowledge of the CI of the serving cell (or of the multiple serving cells if the UE is in soft handover).

Even when all (or some) of the (RTT, RxTxTD) pairs are available, the location calculation based on the serving cell(s) identity can be used to improve performance of location algorithms that make use of RTT and RxTxTD measurements.

Thus the CI+RTT location method can use similar algorithms as the ones used by the SAI location method to estimate geographical coordinates and associated confidence region of a UE when the only location information available is the identity of the serving cells.

Having explained two location methods within which the invention can operate, embodiments of the invention will now be described, firstly with reference to figure 2. As mentioned previously, the embodiments of the invention involve the two main steps of :

1. estimating the location of the UE in terms of x - y coordinates and
2. calculating a confidence region for this location estimate.

A confidence region is a geographical region where the exact UE location is estimated to be with a given probability, referred to as the confidence coefficient  $0 < \xi \leq 1$ .

Embodiments of the invention are implemented using the following location calculation methods (step 1) :

- a) Analytical Single-Cell Location Method
- b) Multi-Cell Location Method
- c) Approximated Multi-Cell Location Method

These location calculation methods are implemented by location calculation algorithms. Two classes of location calculation algorithms are used :

- Location Estimate Calculation Algorithms to implement step 1
- Confidence Region Calculation Algorithms to implement step 2

Figure 2 is a flow chart showing the logical structure of embodiments of the invention. At the top of figure 2 is a high level location procedure 10 which controls all operations. The location procedure 10 calls lower level Location

Estimate Calculation Algorithms (LECAs) (step 1) and Confidence Region Calculation Algorithms (CRCAs) (step 2). LECAs calculate a location estimate by implementing one of the three available location methods a) to c) described below as three embodiments of the invention.

Figure 2 shows that location estimate and confidence region calculation algorithms are kept logically separated. Thus below the location procedure 10 are shown three location estimate procedures, an "Analytical Single-Cell" procedure 12, a "Multi-Cell" procedure 14 and an "Approximated Multi-Cell" procedure 16. Below the three location estimate procedures 12, 14, 16 are shown three confidence region procedures 18, 20, 22, corresponding respectively to the three location estimate procedures. An arrow from each location estimate procedure to its respective confidence region procedure shows that a confidence region is calculated only after a location estimate is calculated successfully. The possible ways of calculating a confidence region will be described below.

When it is desired to obtain the location of a UE in the network 1, the location procedure 10 calls one of the three location estimate procedures 12, 14, 16. The choice of location procedure is influenced by a user-defined variable "PreferredLocationMethod". This variable indicates a preference towards a Location Calculation Method that should be used first for calculation of the location of the UE. The variable is set according to the type of base stations in the SA and the concentration of them, with the aim of using the type of calculation that is most likely to succeed first. If the chosen location calculation method fails for any reason, as shown in figure 2, control reverts to the location procedure 10, which decides whether to call a new LECA or to terminate the procedure with a failure. The location calculation could fail for a number of reasons, for example because for certain SA configurations the calculation according to a specific LECA might require an amount of resources (memory, computational capacity, etc.) that, at that specific moment, are not available in the system where the LECA is implemented.

When a LECA succeeds the CRCA calculating the confidence region according to the same Location Method implemented by the LECA that succeeded last is called. If the CRCA also succeeds the location procedure terminates successfully. On the other hand, if the CRCA fails, as shown in figure 2, control returns to the location procedure 10, which can then decide whether to try a different CRCA.

The combination of location estimate and confidence region parameters is referred to as "shape". The shape definitions supported by the location calculation algorithms described above are:

- (i) Point Shape (i.e. including only the location estimate)
- (ii) Point with Uncertainty Ellipse Shape (where the confidence region is an ellipse)
- (iii) Point with Uncertainty Polygon Shape (where the confidence region is a polygon)
- (iv) Point with Uncertainty Arc Shape (where the confidence region is a circular arc)

Diagrammatic representations of these four shape types are shown in figures 3a-3d. In the figures  $(x_{est}, y_{est})$  corresponds to  $(\hat{x}, \hat{y})$  and  $x_{origin}, y_{origin}$  corresponds to  $x_0, y_0$ .

Figure 3a shows the point shape. This has only one feature :

- Coordinates of the location estimate  $x$  and  $y$

Figure 3b shows the point with uncertainty ellipse shape. This has the following features :

- Coordinates of an origin (at the location estimate)  $(\hat{x}, \hat{y})$
- Semi-major axis  $R1$  and semi-minor axis  $R2$
- Orientation angle  $\alpha$
- Confidence coefficient value  $\xi$

Figure 3c shows the point with uncertainty polygon shape. This has the following features :

- Coordinates of a location estimate  $(\hat{x}, \hat{y})$ .
- Number of vertices  $N$  (in the figure  $N = 7$  )
- Coordinates of the vertices  $(x_1, y_1), \dots, (X_N, Y_N)$

Figure 3d shows the Point with Uncertainty Arc Shape. This has the following features :

- Coordinates of a location estimate  $(\hat{x}, \hat{y})$
- Coordinates of an origin  $x_0$  and  $y_0$
- Inner radius  $R1$  and uncertainty radius  $R2$
- Offset (orientation) angle  $\alpha$  and included angle  $\beta$
- Confidence coefficient value  $\xi$

The CRCA used to determine the confidence region depends both on the location method used to determine the location estimate and on the shape type used to represent the results. The shape type is influenced by a user-defined variable : "PreferredShapeType". This variable indicates a preference towards a shape type that should be used to represent the results. This variable can be set appropriately to best show the confidence region in dependence on the characteristics of the SA.

Finally, the choice of LECA and CRCA is influenced by a third user-defined variable "MethodsAndShapesAllowed". This variable defines a list of location method and shape type combinations that the location procedure

is allowed to use. Certain combinations may be incompatible, as some of the examples below will explain.

A logical description of the location procedure is indicated in the following :

Stage 1: Create the list of Location Estimate Calculation Algorithms LECAList

The list LECAList includes the Location Estimate Calculation Algorithms (LECAs) to be tried to determine a location estimate. The list is created by taking into account the following constraints:

1. Give highest priority to the LECA implementing the PreferredLocationMethod or, if only one cell must be considered, to those implementing the "Analytical Single-Cell" method.
2. Add to the list only the LECAs implementing Location Methods that are allowed (i.e., those appearing at least once in the list MethodsAndShapesAllowed)

Loop 1 :Loop over the list LECAList, trying only once each LECA in the list.

As soon as one LECA in the list LECAList succeeds in determining a location estimate, go to Stage 2. If none of the LECAs in the list LECAList succeeds in determining a location estimate or if all LECAs in the list LECAList have already been tried, go to Stage 4.

Stage 2: Create the list of Confidence Region Calculation Algorithms CRCAList

The list CRCAList includes the Confidence Region Calculation Algorithms (CRCAs) to be tried to determine a confidence region. The list is created by taking into account the following constraints:

1. Give highest priority to the CRCA that determines a confidence region with the shape specified by PreferredShapeType.



2. Add to the list only the CRCAs that are allowed (i.e., those delivering a confidence region that, according to the list MethodsAndShapesAllowed, the Location Procedure is allowed to use when the LECA is the one that succeeded during Loop 1)

Loop 2 : Loop over the list CRCAList, trying only once each CRCA in the list.

As soon as one of the CRCA in the list LECAList succeeds in determining the confidence region go to Stage 3.

If none of the CRCAs in the list CRCAList succeeds, return to Loop 1 and try the next LECA in the list LECAList.

#### Stage 3: Location Procedure Terminated with a Success

The location result is represented in the shape type obtained by combining the latest location estimate determined inside Loop 1 and the corresponding confidence region determined inside Loop 2.

#### Stage 4: Location Procedure Terminated with a Failure

A failure occurred because all LECAs in the list LECAList were tried and either none of them succeeded, or although some (or all) of them succeeded, none of the corresponding CRCAs in the list CRCAList succeeded in determining a confidence region.

Having explained the logical process steps involved in embodiments of the invention, the LECAs and the CRCAs will now be described.

#### LECAs

The first consideration is modelling the geographical extension of each network cell of interest. The model is created by means of the following radio network parameters:

- x - y coordinates for the Base Transceiver Station (BTS) antenna, (xs,ys)

- Bearing of the BTS antenna measured counterclockwise from x-axis in radians,  $\phi_s$
- Half Power Beam Width (HPBW) of the BTS antenna measured in radians,  $\Delta\phi_s$
- Maximum front radius of the cell,  $R_F$ . This parameter specifies the maximum radius of the geographical region illuminated by the main radiation lobe of the BTS antenna, where the cell is serving.
- Maximum back radius of the cell,  $R_B$ . This parameter specifies the maximum radius of the geographical region illuminated by the back radiation lobe of the BTS antenna, where the cell is serving.

Figure 4 shows a representation of the cell border in the x-y plane. Based on the five radio network parameters listed above, each cell of interest is mathematically modeled in the (x,y) plane as follows :

$$C(x,y) : \begin{cases} d(x,y) = R_F & ; \quad 0 \leq |\psi(x,y) - \phi_s| \leq \Delta\phi_s \\ d(x,y) = R_B & ; \quad |\psi(x,y) - \phi_s| > \Delta\phi_s \end{cases} \quad (1)$$

where  $d(x,y) = \sqrt{(x_s - x)^2 + (y_s - y)^2}$   $d(x,y)$  and  $\psi(x,y)$  is such that  $\tan \psi(x,y) = \frac{y_s - y}{x_s - x}$  (d and  $\psi$  so defined are the radial and angular coordinates of a polar reference system centered at the BTS site).

The border of the region covered by the  $N_{\text{Cells}}$  cells, S, is finally modeled by the algorithms as the union of  $N_{\text{Cells}}$  cell borders  $\{C_1, \dots, C_{N_{\text{Cells}}}\}$  each of them modeled as in equation (1):

$$S = \bigcup_{i=1}^{N_{\text{Cells}}} C_i$$

(2)

The principles of the LECAs will now be discussed. The algorithms calculate the location estimate coordinates at the mass center of the geographical area covered by the  $N_{Cells}$  cells of interest. According to this principle, the location estimate coordinates  $(\hat{x}, \hat{y})$  are calculated using the following definitions:

$$\hat{x} = \frac{\int \int_S \mu(x,y) x dx dy}{\int \int_S \mu(x,y) dx dy} ; \quad \hat{y} = \frac{\int \int_S \mu(x,y) y dx dy}{\int \int_S \mu(x,y) dx dy} \quad (3)$$

where  $S$  is the border of the geographical region covered by the  $N_{Cells}$  cells of interest and  $\mu(x,y)$  is the density of the geographical region enclosed by  $S$ . By density is meant the density of users, measured in units such as number of users per square km.

In the context of the SAI location method  $S$  represents the Service Area (SA) border and  $\mu(x,y)$  the Service Area density. In embodiments of the invention, two alternatives for  $\mu(x,y)$  are available, according to the value of an algorithm parameter "ConsiderOverlapping". This parameter can be set to TRUE or FALSE. The two alternatives are described in the following and exemplified in figure 5.

Alternative 1: ConsiderOverlapping= FALSE

The first alternative is to assume a uniform density over the whole SA. In this case (figure 5a),  $\mu(x,y)$  is defined as follows :

$$\mu(x,y) = \begin{cases} \mu_0 & (x,y) \in S \\ 0 & \text{elsewhere} \end{cases} \quad (4)$$

where  $\mu_0$  is the constant density. Under this assumption the location estimate coordinates are expressed as

$$\hat{x} = \frac{1}{\mathcal{M}(S)} \int \int_S x dx dy \quad ; \quad \hat{y} = \frac{1}{\mathcal{M}(S)} \int \int_S y dx dy \quad (5)$$

where  $\mathcal{M}(S) = \int \int_S dx dy$  is the area of the region confined by the Service Area border  $S$ .

Alternative 2: ConsiderOverlapping= TRUE

According to the second alternative, when ConsiderOverlapping= TRUE,  $\mu(x,y)$  is set to a value proportional to the number of cells covering the location of coordinates  $(x,y)$ . In this case (figure 5b) the density is equal to the sum of  $N_{\text{Cells}}$  uniform densities of the cells in the Service Area:

$$\mu(x,y) = \sum_{i=1}^{N_{\text{Cells}}} \mu_i(x,y) \quad (6)$$

where the density of the  $i$ -th cell  $\mu_i(x,y)$  is equal to the constant  $\mu_{i0}$  over the cell area:

$$\mu_i(x,y) = \begin{cases} \mu_{i0} & (x,y) \in C_i \\ 0 & \text{elsewhere} \end{cases} \quad (7)$$

Under this assumption the UE location estimate (equation (3)) results as follows:

$$\hat{x} = \frac{\sum_{i=1}^{N_{\text{Cells}}} \mu_{i0} \int \int_{C_i} x dx dy}{\sum_{i=1}^{N_{\text{Cells}}} \mu_{i0} \mathcal{M}(C_i)} \quad ; \quad \hat{y} = \frac{\sum_{i=1}^{N_{\text{Cells}}} \mu_{i0} \int \int_{C_i} y dx dy}{\sum_{i=1}^{N_{\text{Cells}}} \mu_{i0} \mathcal{M}(C_i)} \quad (8)$$

where,  $M(C_i) = \iint_{C_i} \mu(x,y) dx dy$  is the area of the  $i$ -th cell.

From the comparison of this result with equation (5) it is evident that the location estimate (equation (8)) is the weighted average of the  $N_{\text{cells}}$  mass centers of the cells of interest; where the

weight of the  $i$ -th mass center is  $\frac{\mu_{i0} \mathcal{M}(C_i)}{\sum_{i=1}^{N_{\text{Cells}}} \mu_{i0} \mathcal{M}(C_i)}$  ( $i = 1, \dots, N_{\text{Cells}}$ ).

It should be remarked here that in examples described below,  $\mu_0$  and  $\mu_{i0}$  are both set to 1 to simplify the calculations for illustrative purposes.

#### Confidence Region Calculation

As explained previously, the location estimate coordinates and the confidence region parameters resulting from the calculation performed by a location calculation algorithm are combined and represented with any of the four shape formats represented in figure 3.

In practice all location methods except method a) "Analytical Single-Cell" return a confidence region with the shape of a circle when they are requested to provide either a "Point with Uncertainty Arc" or a "Point with Uncertainty Ellipse" shape type. The circle is centered at the location estimate coordinates and has a radius  $R_{CR}$ , in general dependent on the confidence coefficient  $0 < \xi \leq 1$ . For this reason, in the following examples no difference is made, unless explicitly stated, between Arc and Ellipse confidence region calculations in the case of "Multi-Cell" and "Approximated Multi-Cell" location methods.

It can further be understood that a circular confidence region is represented using the "Point with Uncertainty Arc" shape format by setting the inner radius  $R_1$  to zero, the uncertainty radius  $R_2$  to  $R_{CR}$  and the included angle  $\beta$  to  $2\pi$  (the offset angle  $\alpha$  is meaningless). See figure 3

for a graphical representation of these variables. The same circular confidence region is represented using the "Point with Uncertainty Ellipse" shape format by setting both the semi-major axis  $R_1$  and the semi-minor axis  $R_2$  to the radius  $R_{CR}$  (the orientation angle  $\alpha$  is meaningless). See figure 4 for a graphical representation of these variables.

### Examples

#### First Embodiment – Analytical Single-Cell Algorithms

The "Analytical Single-Cell" location method can be applied when only one cell is to be considered. In the following the algorithms implementing the location estimate and confidence region calculation are presented.

#### Step 1 : Location Estimate Calculation

The location estimate is calculated at the mass center of the unique cell of interest ( $N_{Cells} = 1$ ). The coordinates of the mass center of the cell can be calculated by evaluating analytically the integrals in equation (3). It can be shown that by assuming a uniform density the following expression for the location estimate results:

$$\begin{cases} \hat{x} = x_S + \frac{2}{3} \frac{(R_F^3 - R_B^3) \sin \Delta \phi_S}{R_F^2 \Delta \phi_S + (\pi - \Delta \phi_S) R_B^2} \cos \phi_S \\ \hat{y} = y_S + \frac{2}{3} \frac{(R_F^3 - R_B^3) \sin \Delta \phi_S}{R_F^2 \Delta \phi_S + (\pi - \Delta \phi_S) R_B^2} \sin \phi_S \end{cases} \quad (9)$$

#### Step 2 : Confidence Region Calculation

##### First variation : Ellipse

The elliptical confidence region has the shape of a circle, the radius of which,  $R_{CR}$ , is calculated by scaling the distance between the location estimate and the furthest point on the cell borders ( $R_{MAX}$ ) by a factor equal to the square root of the confidence coefficient  $0 < \xi \leq 1$ :



$$R_{CR} = \sqrt{\xi} R_{MAX} \quad (10)$$

In this way the area of the circular confidence region having its centre at the location estimate and a radius equal to  $R_{CR}$  has a total area equal to a fraction  $\xi$  of the circle centered at the same point enclosing the whole cell (i.e., corresponding to  $\xi = 1$ ).

The maximum distance between the location estimate and the cell borders is defined as follows :

$$R_{MAX} = \max\{R_{E,B}, R_{E,F}\} \quad (11)$$

where  $R_{E,B}$  is the distance between the location estimate and the furthest point on the back cell region, and  $R_{E,F}$  is the distance between the location estimate and furthest point on the front cell region. These two distances can be calculated geometrically, as represented in figure6.  $R_{E,B}$  is defined as

$$R_{E,B} = \sqrt{(\hat{x} - x_B)^2 + (\hat{y} - y_B)^2} \quad (12)$$

where

$$\begin{cases} x_B = x_S - R_B \cos \phi_S \\ y_B = y_S - R_B \sin \phi_S \end{cases} \quad (13)$$

$R_{E,F}$  is defined as

$$R_{E,F} = \sqrt{(R_F \sin \Delta\phi_S)^2 + (R_B + R_F \cos \Delta\phi_S - R_{E,B})^2} \quad (14)$$

Second Variation : Arc

The parameters of an Arc shaped confidence region can be calculated by means of trigonometric formulas.

### Third Variation : Polygon

Given the maximum number of confidence region vertices,  $N$ , the polygonal confidence region is simply determined by generating  $N$  equally spaced pixels along the perimeter of the cell. The pixels correspond to the confidence region vertices. Since the polygonal confidence region has no confidence coefficient associated with it (see shape type definitions described with reference to figure 3) there is no need to take into account the confidence coefficient in this calculation.

### Second Embodiment : Multi-Cell Algorithms

In the multi-cell location method a location estimate is determined numerically at the mass center of a uniform rectangular grid covering the geographical region enclosed by the multiple cell borders. The method can be applied when one or more cells is to be considered.

The rectangular grid, which is used also to determine the confidence region, is obtained by sampling uniformly in the x and y directions the area covered by the cells of interest, using constant step sizes  $\Delta x$  and  $\Delta y$ , respectively. An example of a grid created is shown in figure 7a, and is defined by  $N_p$  sets of three values:

$$\{x_p, y_p, w_p\}_{p=1}^{N_p} \quad (15)$$

where

- $(x_p, y_p)$  are the central x - y coordinates of the p-th rectangular pixel having area  $\Delta x * \Delta y$ ;
- $w_p$  is the number of cells that overlap in the area represented by the p-th pixel.

### Step 1 : Location Estimate Calculation

The location estimate is determined through a discretised version of equation (3):

$$\hat{x} \simeq \sum_{p=1}^{N_P} q_p x_p \quad ; \quad \hat{y} \simeq \sum_{p=1}^{N_P} q_p y_p \quad (16)$$

where

$$q_p = \frac{\mu(x_p, y_p)}{\sum_{l=1}^{N_P} \mu(x_l, y_l)} \quad (p = 1, \dots, N_P) \quad (17)$$

is the normalised density associated with the p-th pixel, assumed constant over the pixel area.

### Step 2 : Confidence Region Calculation

#### First Variation : Circle

Given the location estimate coordinates  $(\hat{x}, \hat{y})$  the following set of distances between the location estimate and the grid pixels  $\{x_p, y_p\}_{p=1}^{N_P}$  calculated :

$$\hat{d}_p = \sqrt{(\hat{x} - x_p)^2 + (\hat{y} - y_p)^2} \quad (p = 1, \dots, N_P) \quad (18)$$

The p-th distance is then weighted by the normalised density of the corresponding pixel,  $q_p$ , and the resulting set of distances  $N_p$  and corresponding weights is arranged in a discrete distribution defined by the following  $N_D \leq N_p$  sets of two values:

$$\{\hat{d}_j, p_j\}_{j=1}^{N_D}$$

(19)

where  $\hat{d}_1 < \hat{d}_2 < \dots < \hat{d}_{N_D}$  are the re-arranged  $N_D$  distances and  $\{p_j\}_{j=1}^{N_D}$  are the probabilities of the  $N_D$  distances such that  $\sum_{j=1}^{N_D} p_j = 1$ .

Subsequently, the radius of the circular confidence region  $R_{CR}$  is calculated as the  $(100\xi)$ -th percentile of the distance distribution ( $0 < \xi \leq 1$ ):

$$R_{CR} = \hat{d}_{j_0} \text{ with } j_0 \text{ such that } \sum_{j=1}^{j_0} p_j = \xi \quad (20)$$

Figure 7a shows the circular confidence region obtained using the algorithm described above on a three-cell sample using a confidence coefficient  $\xi = 0.8$ . The same figure shows the pixel coordinates and location estimate as well. Figure 7b shows the discrete distance distribution (equation (19)) and the corresponding 80-th percentile.

#### Second Variation : Polygon

Given the maximum number of confidence region vertices,  $N$ , the polygonal confidence region is obtained from the grid of  $Np$  pixels defined in equation (15) by properly selecting  $N$  outermost pixels that best approximate the grid borders. Since the polygonal confidence region has no confidence coefficient associated with it (see shape type definitions described with reference to figure 3) there is no need to take into account the confidence coefficient in this calculation.

Figure 8 shows the polygonal confidence region resulting from the same sample cells used to produce the results shown in figure 7.

#### Effect of Service Area Density Assumption

In one implementation of the multi-cell location estimate calculation algorithms the density  $\mu(x_p, y_p)$  used in equation (17) is defined according to the value of the parameter ConsiderOverlapping. When ConsiderOverlapping is FALSE the number of cells overlapping on each pixel area is not considered when calculating the location estimate, but when ConsiderOverlapping is TRUE, the overlapping is considered. These assumptions result in the following definition for  $\mu(x_p, y_p)$ :

$$\mu(x_p, y_p) = \begin{cases} 1 & \text{ConsiderOverlapping} = \text{FALSE} \\ w_p & \text{ConsiderOverlapping} = \text{TRUE} \end{cases} \quad (21)$$

In the implementation when ConsiderOverlapping is TRUE the location estimate is not calculated with equation (16) but using explicitly equation (8), which is only approximated by equation (16).

### Third Embodiment : Approximated Multi-Cell Algorithms

In this embodiment a location estimate is determined numerically at the mass centre of a polygon  $P$  enclosing the borders of the multiple cells. The method can be applied when one or more cells must be considered.

The polygon  $P$  is obtained as a polygon of  $N_V$  vertices enclosing pixels equally spaced along the borders of the cells of interest. For location calculation purposes, the polygon  $P$  is used as an approximation of the border enclosed by the multiple cells ( $P$  approximates the border  $S$  defined in equation (1)). Figure 9 shows an example of how a polygon enclosing three cells is obtained from pixels placed on the borders of the cells.

#### Step 1 : Location Estimation Calculation

The location estimate coordinates  $(\hat{x}, \hat{y})$  are calculated at the mass center of the polygon  $P$  approximating the cells' border. Analytical formulas can be used for this purpose.

### First Variation : Circle

The radius of the circular confidence region  $R_{CR}$  is calculated by scaling the distance  $R_{MAX}$  between the location estimate and the furthest vertex of the polygon  $P$  by a factor equal to the square root of the confidence coefficient  $0 < \xi \leq 1$ :

$$R_{CR} = \sqrt{\xi} R_{MAX} \quad (22)$$

In this way the area of the circular confidence region having its centre at the location estimate and a radius equal to  $R_{CR}$  has a total area equal to a fraction  $\xi$  of the circle centered at the same point enclosing the whole polygon  $P$  used to approximate the border of the multiple cells (i.e., corresponding to  $\xi = 1$ ). Figure 10a shows the circular confidence region resulting from the above calculation applied to the sample cells of figure 9 assuming  $\xi = 0.8$ .

### Second Variation : Polygon

Given the maximum number of the confidence region vertices,  $N$ , if  $N < N_V$  the polygonal confidence region is obtained by properly selecting  $N$  vertices among the  $N_V$  vertices of the polygon  $P$ . If  $N \geq N_V$ , the polygonal confidence region coincides with  $P$  itself. Since the polygonal confidence region has no confidence coefficient associated (see shape type definitions as described with reference to figure 3) there is no need to take into account the confidence coefficient in this calculation. Figure 10b shows a polygonal confidence region resulting from the sample cells of figure 9 (in the figure  $N = 15$ ).

### Effect of Service Area Density Assumption



In one implementation of the third embodiment the polygon  $P$  is a convex polygon. The implementation can be effected with the parameter `ConsiderOverlapping`. If `ConsiderOverlapping` is set to `FALSE` the calculations of this Third embodiment as described above are performed. If, on the other hand, `ConsiderOverlapping` is set to `TRUE` the method is in practice the "Multi-Cell" location method (the second embodiment) with the difference that the grid (equation (15)) instead of being a uniform rectangular grid is a non rectangular grid obtained by subdividing each cell in the Service Area into  $N_p$  circular arcs having approximately the same area, and by assigning :

- the  $x - y$  coordinates of the  $p$ -th pixel  $(x_p, y_p)$  at the center of the  $p$ -th circular arc;
- the weight  $w_p$  equal to the area of the  $p$ -th circular arc.

An example of non rectangular grid created with this method and covering the area of one sample cell is represented in figure 11.

The applicant hereby discloses in isolation each individual feature described herein and any combination of two or more such features, to the extent that such features or combinations are capable of being carried out based on the present specification as a whole in the light of the common general knowledge of a person skilled in the art, irrespective of whether such features or combinations of features solve any problems disclosed herein, and without limitation to the scope of the claims. The applicant indicates that aspects of the present invention may consist of any such individual feature or combination of features. In view of the foregoing description it will be evident to a person skilled in the art that various modifications may be made within the scope of the invention.

## CLAIMS

1. A method for locating a mobile terminal in a communications network, the method comprising the steps of :

estimating a location of the mobile terminal; and

applying one of a plurality of available methods to calculate a region around the estimated location in which the terminal could be located.

2. A method according to claim 1, wherein the step of estimating a location of the mobile terminal is performed using multiple sources of information.

3. A method according to claim 2, wherein the network comprises multiple cells and each source of information comes from a respective one of the multiple cells.

4. A method according to claim 2 or claim 3, wherein the mobile terminal is served by multiple cells of the network simultaneously and each source of information comes from a respective one of the multiple cells.

5. A method according to any preceding claim, wherein the step of estimating a location of the mobile terminal comprises the steps of selecting and applying a preferred method for estimating the location from a number of available methods.

6. A method according to claim 5, wherein if the selected method for estimating the location is unsuccessful when applied, the method comprises the further step of sequentially selecting and applying one or more others of the available methods until a selected method is successfully applied.

7. A method according to claim 5 or claim 6, wherein the available methods include : an algorithm using information from one cell of the network; an algorithm using information from multiple cells of the network; and a numerical method using information from multiple cells of the network.

8. A method according to any of claims 5 to 7, wherein the preferred method can be specified by setting a variable.

9. A method according to any preceding claim, wherein the step of calculating a region around the estimated location comprises the steps of selecting and applying a preferred method for calculating the region from the plurality of available methods.

10. A method according to claim 9, wherein if the selected method for calculating a region is unsuccessful when applied, the method comprises the further step of sequentially selecting and applying other of the available methods until a selected method is successfully applied.

11. A method according to claim 9 or claim 10, wherein the available methods for calculating the region include : an ellipse algorithm; a circle algorithm; an arc algorithm; and a polygon algorithm.

12. A method according to any of claims 9 to 11, wherein the methods include use of a parameter to calculate the region such that the probability of the mobile's exact location being in that region equals the parameter.

13. A method according to any of claims 9 to 12, as dependent on claim 5, wherein the selected method for estimating the location and the selected method for calculating the region together result in one of a number of shapes of region in which the mobile terminal could be located, the shape depending on the selected method for calculating the region.

14. A method according to any of claims 9 to 13, as dependent on claim 5, wherein the method comprises the further step of applying a rule that specifies which of the possible methods for estimating the location can be used together with what available methods for calculating the region.

15. A method according to any preceding claim wherein the step of estimating a location comprises the step of modelling a cell of the network.

16. A method according to any preceding claim, wherein the step of calculating a region around the estimated location in which the mobile terminal could be located comprises the step of modelling a cell of the network.

17. A method according to any preceding claim, wherein the network comprises a service area, the service area containing a number of cells including a cell in which the mobile terminal is located.

18. A method according to claim 17, wherein the service area is represented by the geographical region served by the cells in the service area.

19. A method according to claim 18, wherein the geographical region representing the service area is the region enclosed by a closed curve enclosing all borders of the geographical region served by the cells in the service area.

20. A method according to claim 18 or claim 19, wherein the step of estimating the location comprises a calculation of the mass centre of the geographical region representing the service area.

21. A method according to claim 18 or claim 19, wherein in the step of estimating a location, the network service density is assumed constant over the geographical region representing the service area.

22. A method according to claim 18 or claim 19, wherein the step of calculating a region around the estimated location in which the mobile terminal could be located assumes that the network service density is constant over the geographical region representing the service area.

23. A method according to claim 18 or claim 19, wherein in the step of estimating a location the network service density in the service area is

assumed not constant over the geographical region representing the service area.

24. A method according to claim 18 or claim 19, wherein the step of calculating a region around the estimated location in which the mobile terminal could be located assumes that the network service density is not constant over the geographical region representing the service area.

25. A method according to claim 23 or claim 24, wherein the network service density in any given location of the geographical region representing the service area depends on the number of cells serving that given location.

26. A method according to any preceding claim, applied in a 3GPP telecommunications network.

27. A method according to any preceding claim, applied in a Service Area Identifier location method.

28. A method according to any of claims 1 to 27, applied in a Cell Identity and Round Trip Time location method.

29. A location module apparatus arranged to calculate the location of a mobile terminal in a communications network, the location module comprising :  
means for estimating a location of the mobile terminal; and  
means for calculating a region around the estimated location in which the mobile terminal could be located.

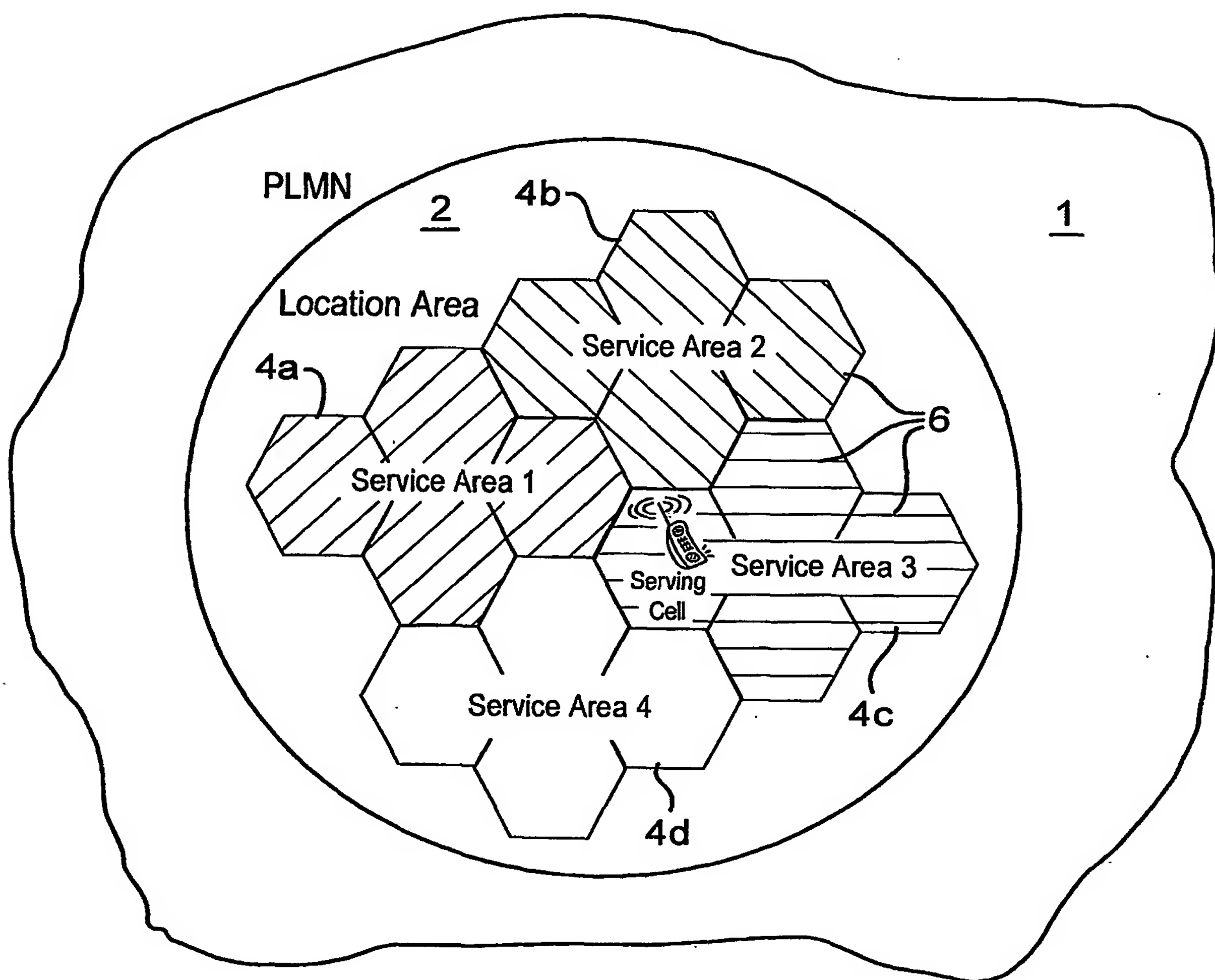
30. A method for locating a mobile terminal in a communications network, the method comprising the steps of :

applying one of a plurality of available methods to estimate a location of the mobile terminal; and

applying one of a plurality of available methods to calculate a region around the estimated location in which the mobile terminal could be located.

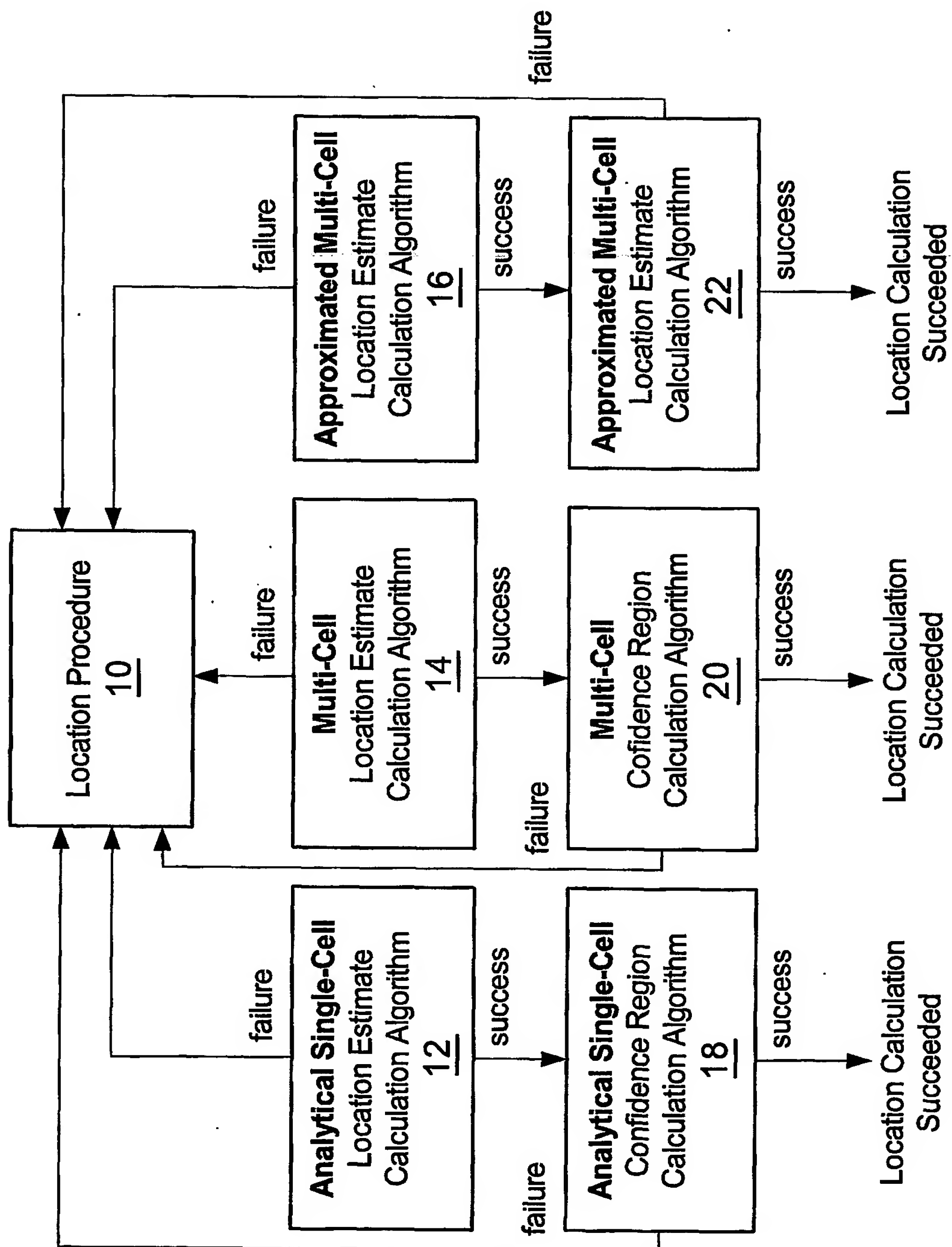
1/9

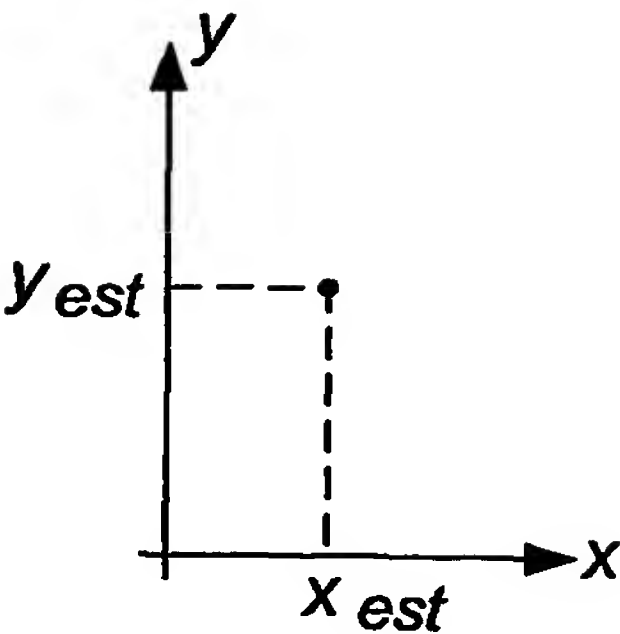
**Fig. 1**



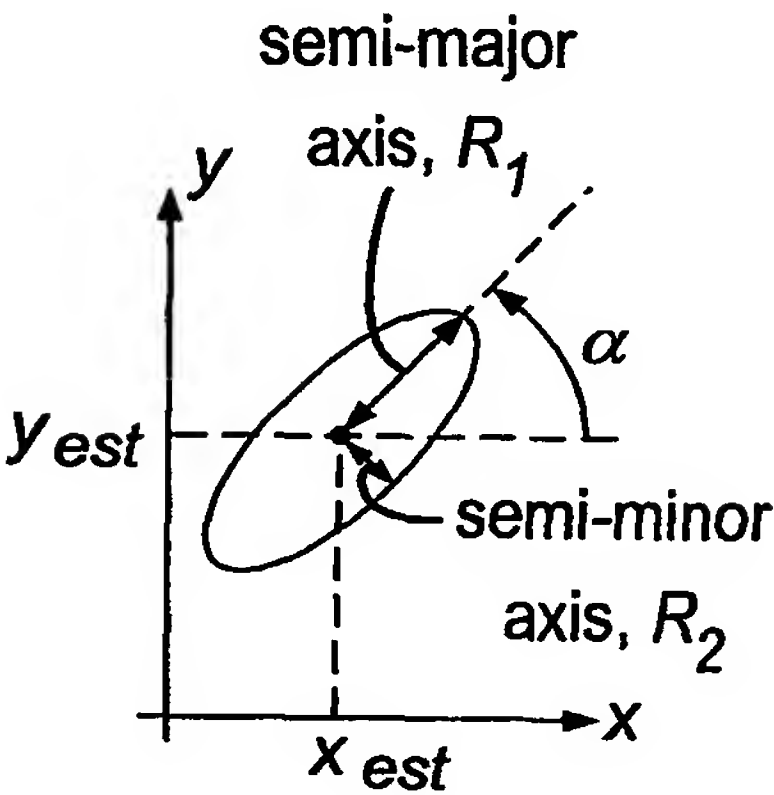


2/9

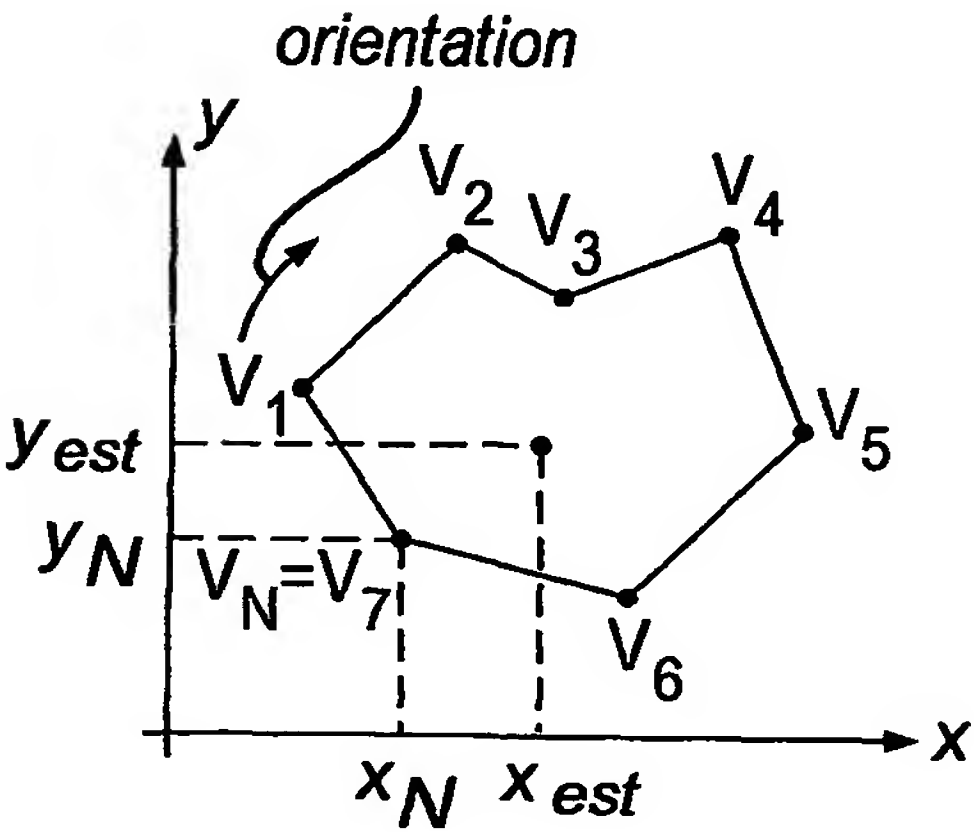
*Fig.2*



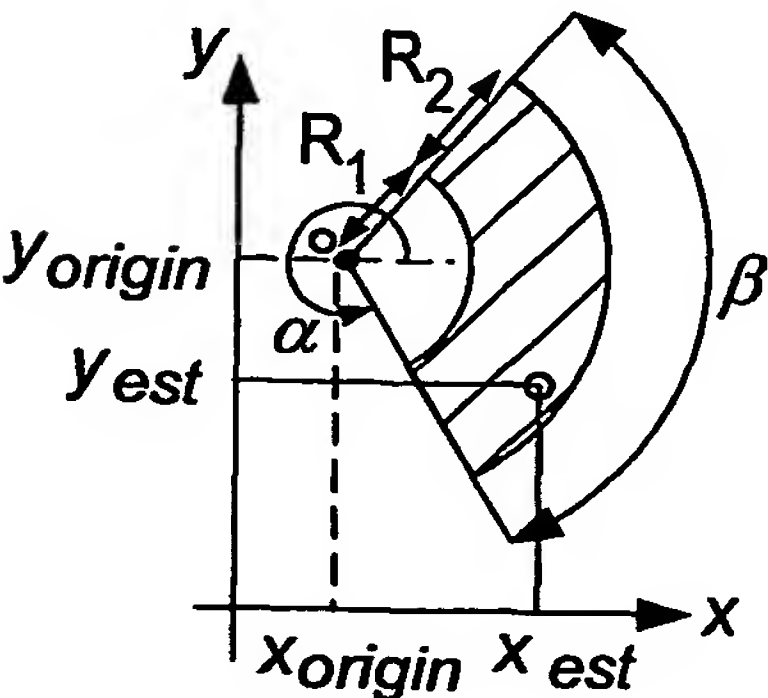
*Fig. 3a*



*Fig. 3b*

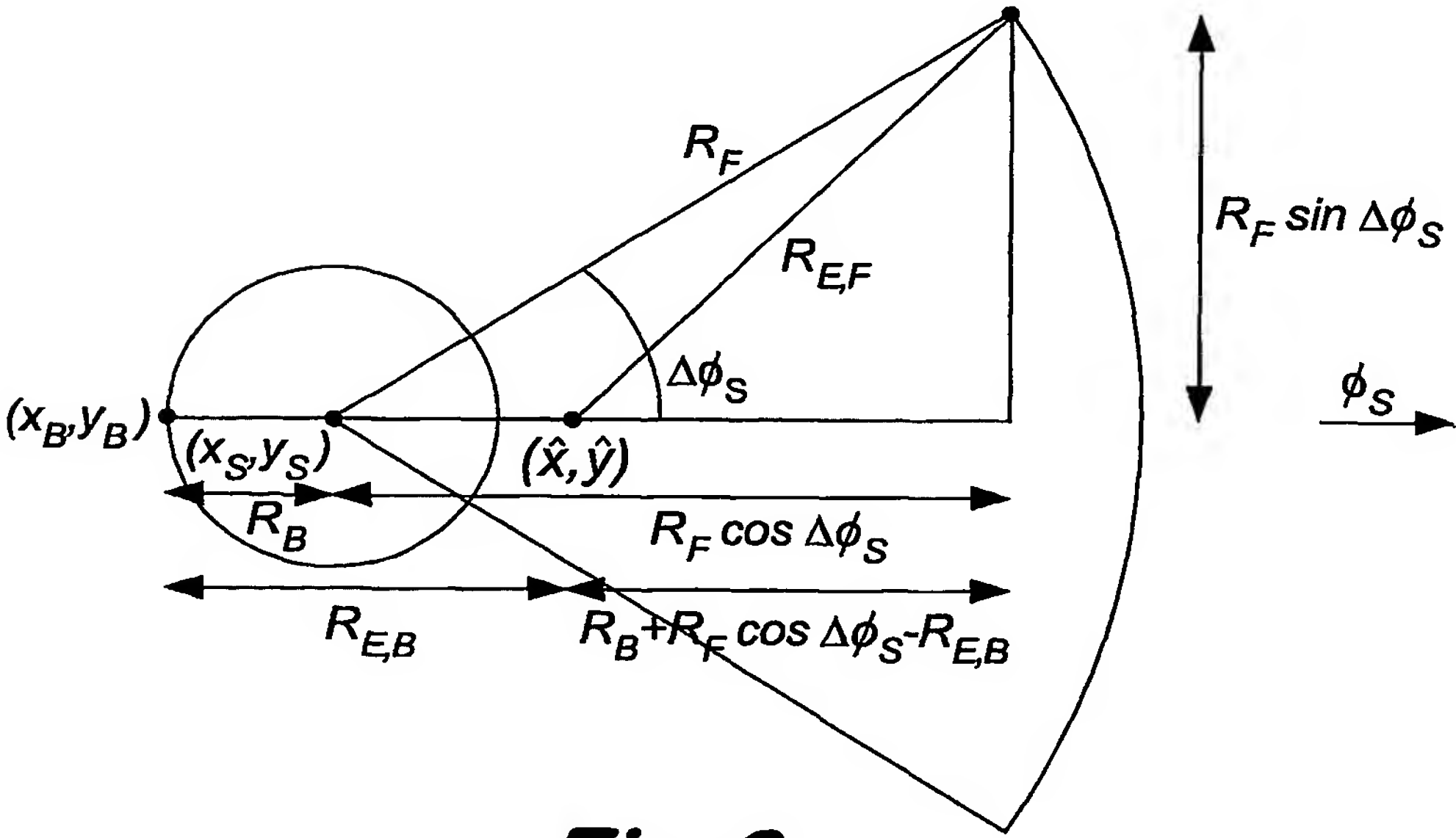
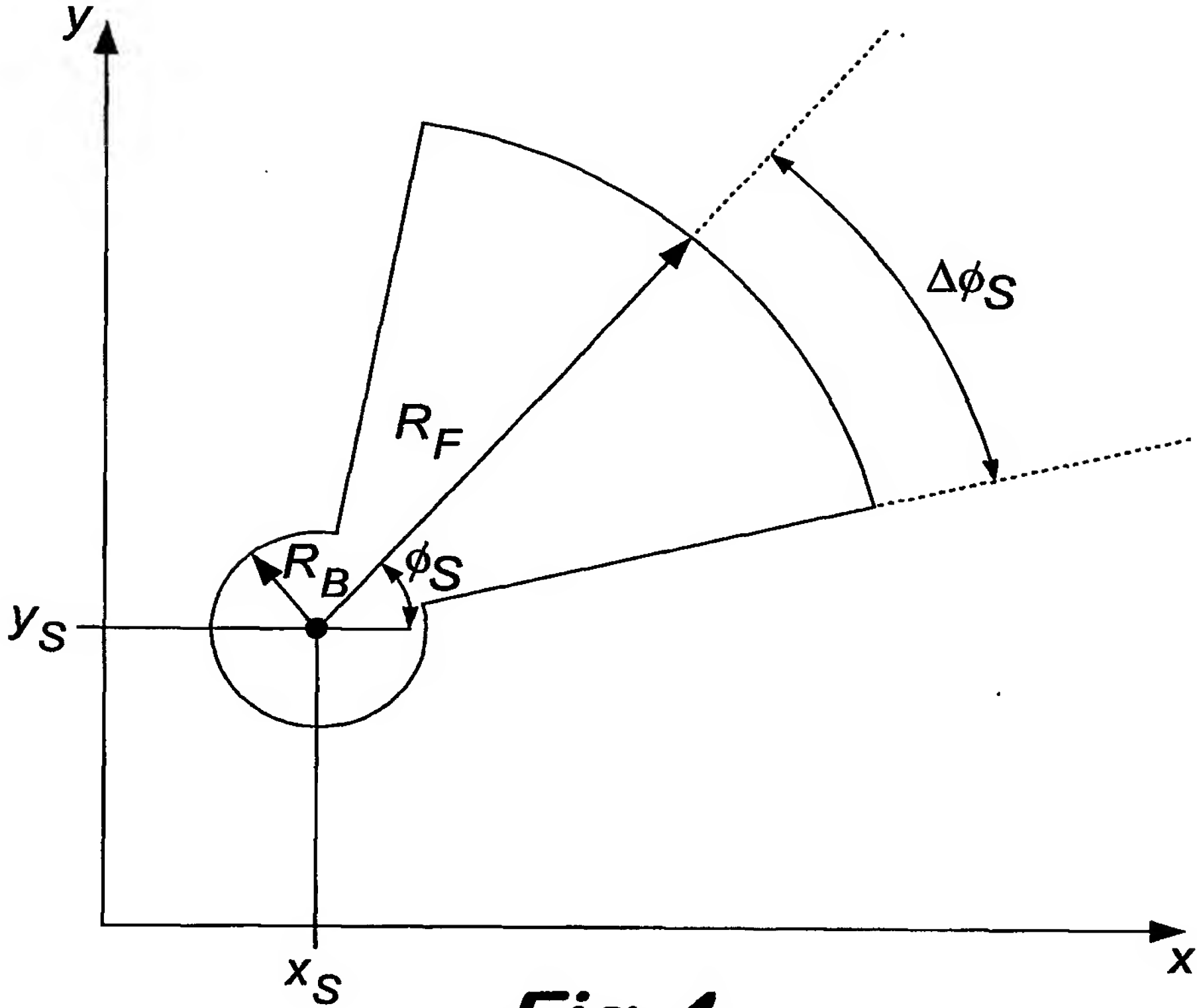


*Fig. 3c*

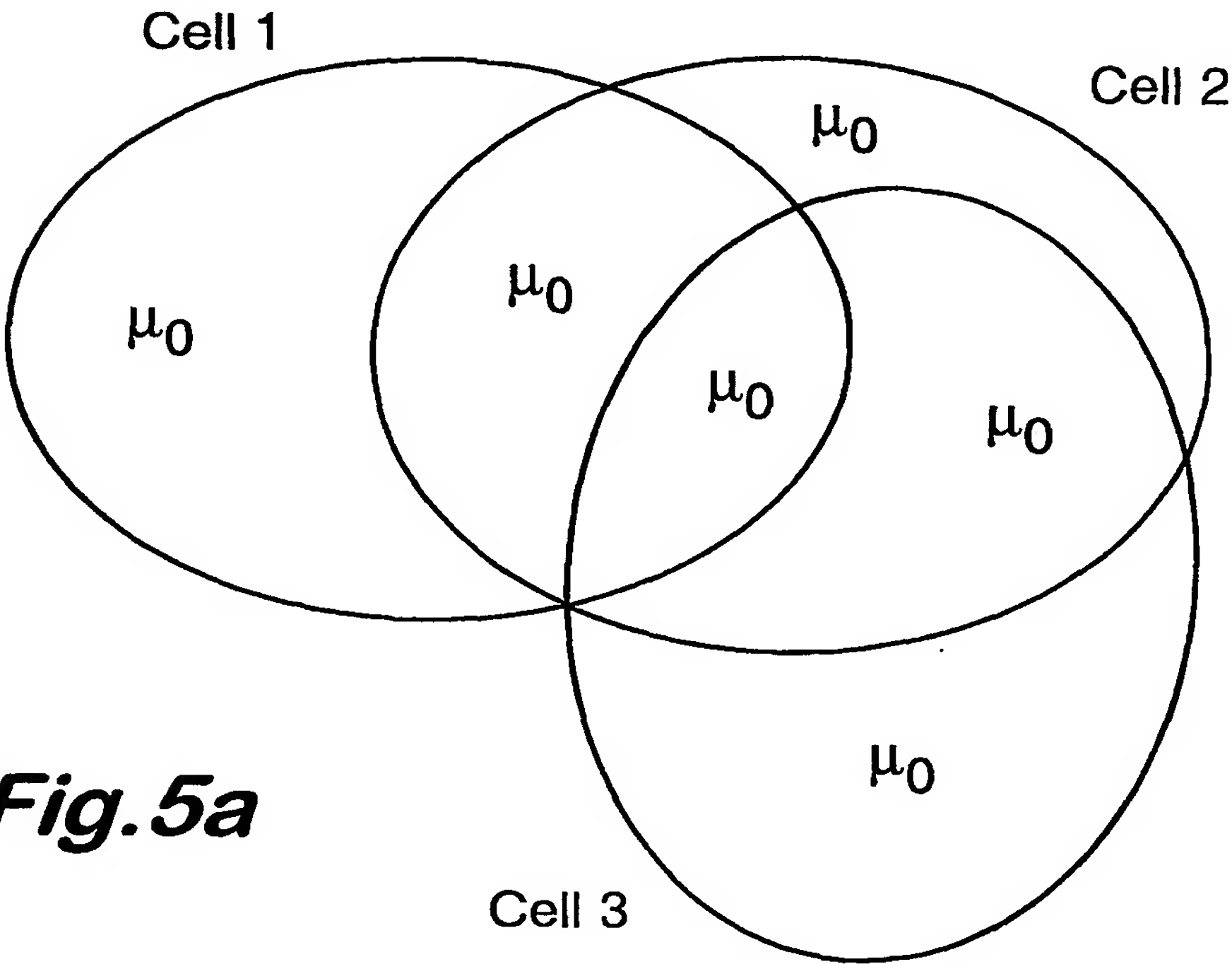


*Fig. 3d*

4/9

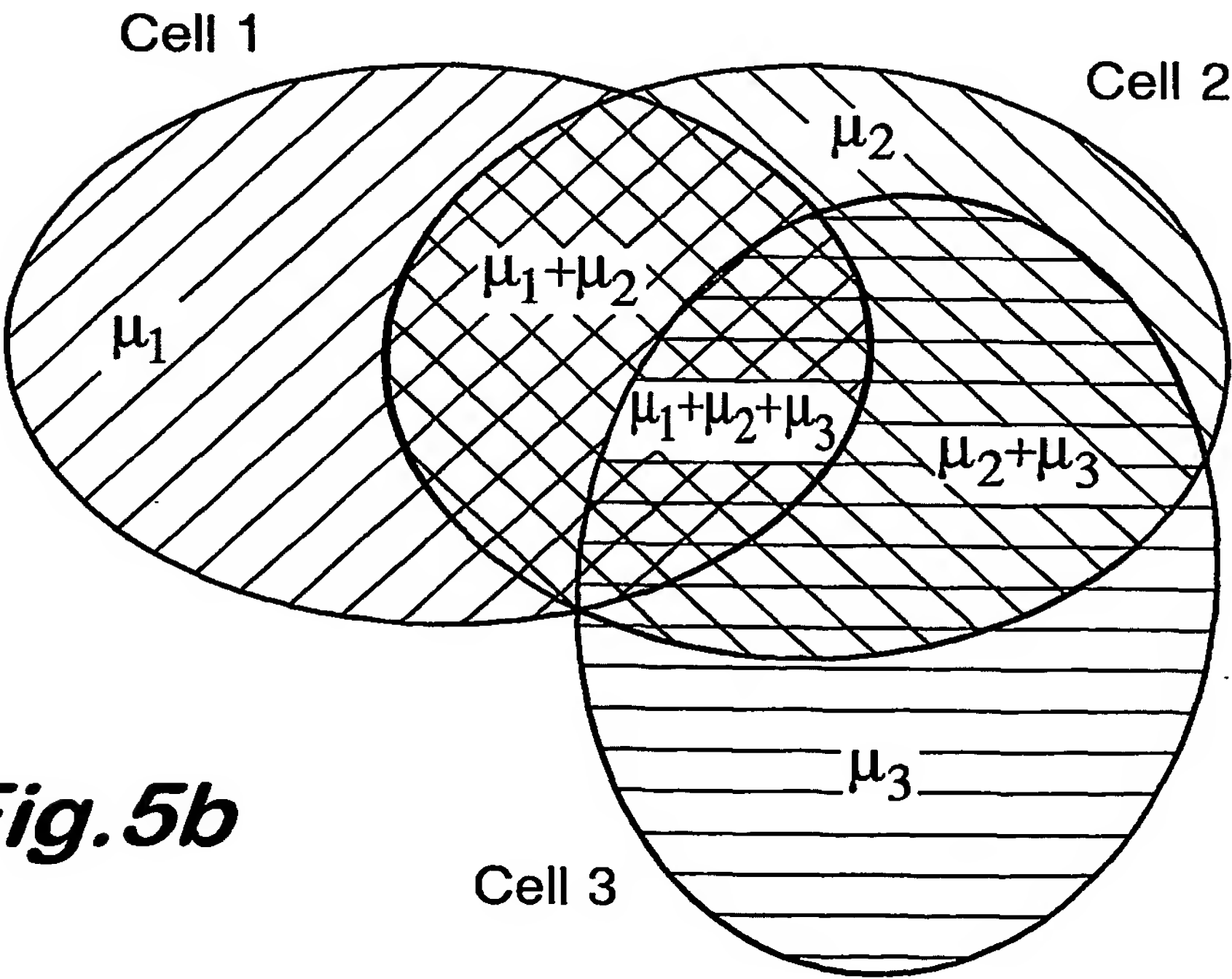


ConsiderOverlapping=FALSE



**Fig.5a**

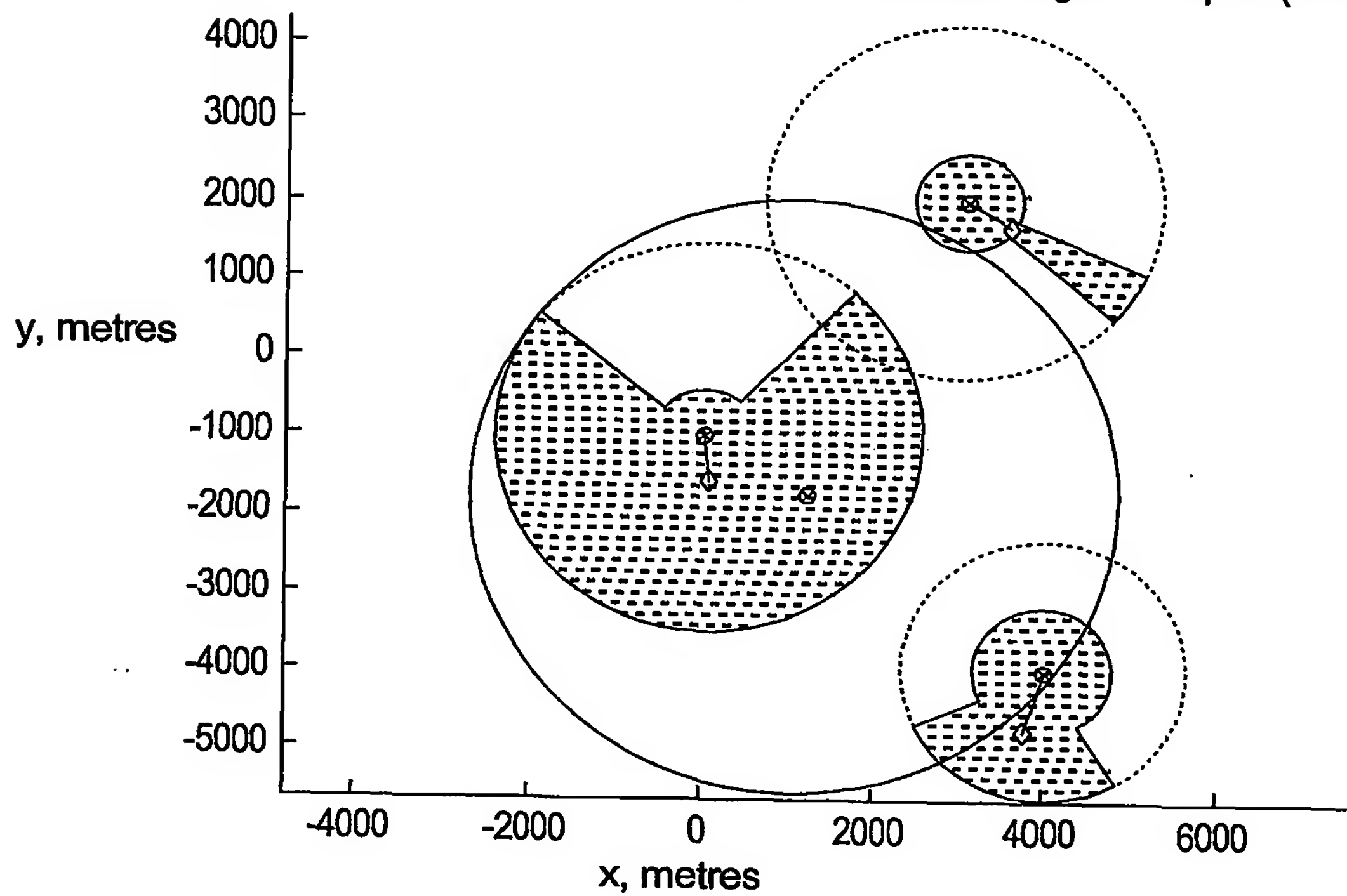
ConsiderOverlapping=TRUE



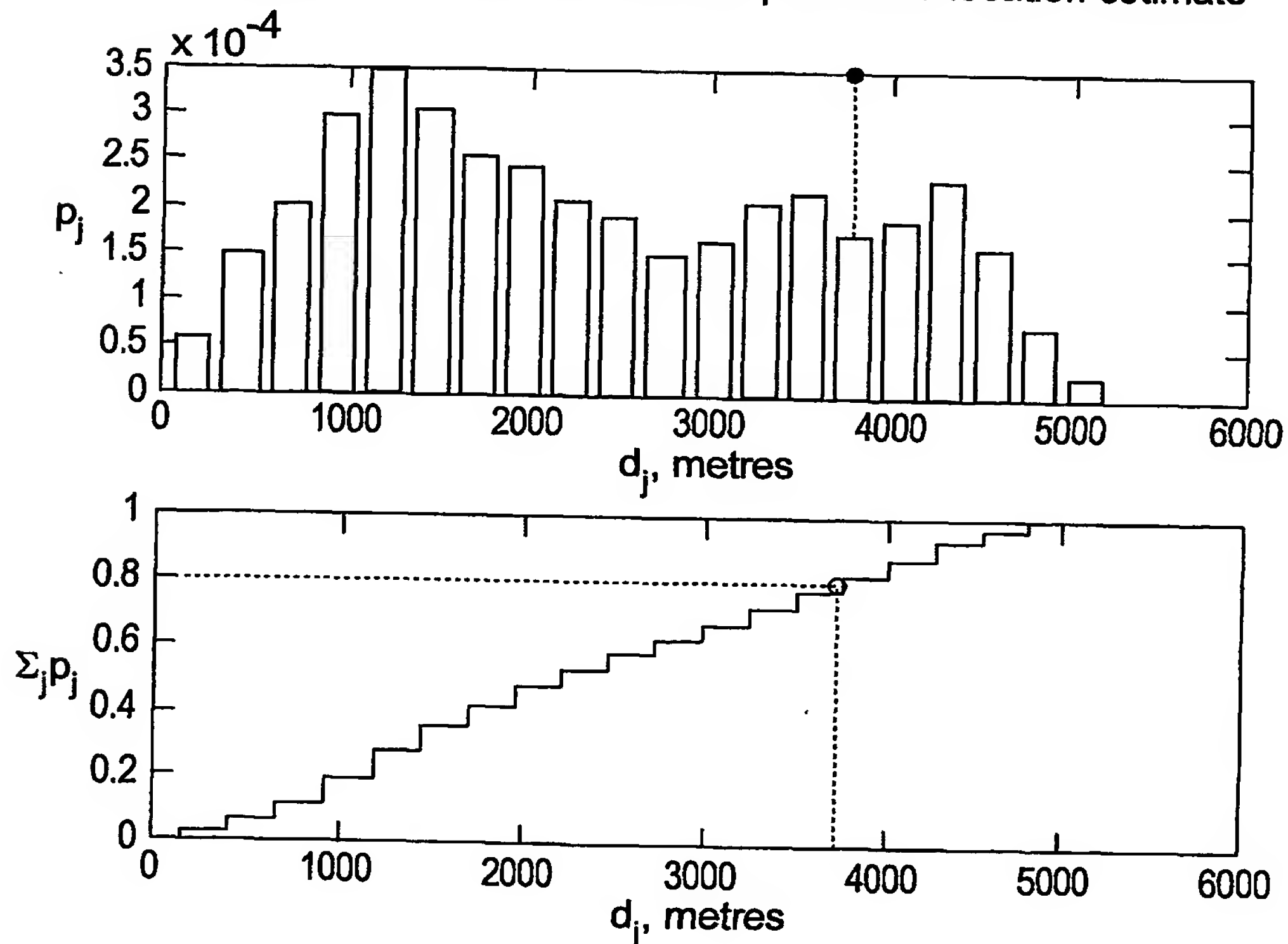
**Fig.5b**

6/9

Location Method: Multi Cell. Confidence Region: Ellipse (80%)

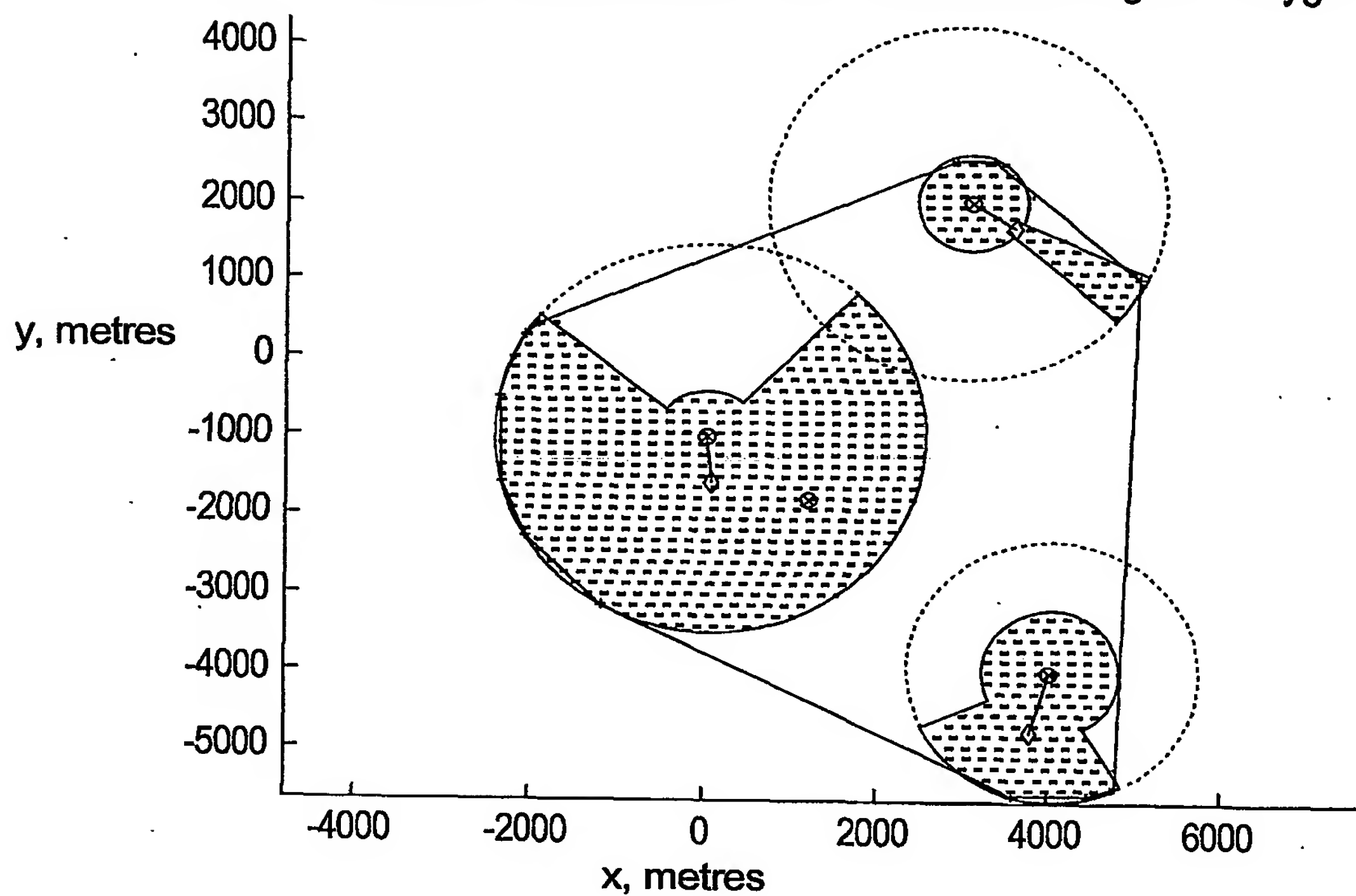
**Fig. 7a**

Distribution of distance between pixels and location estimate

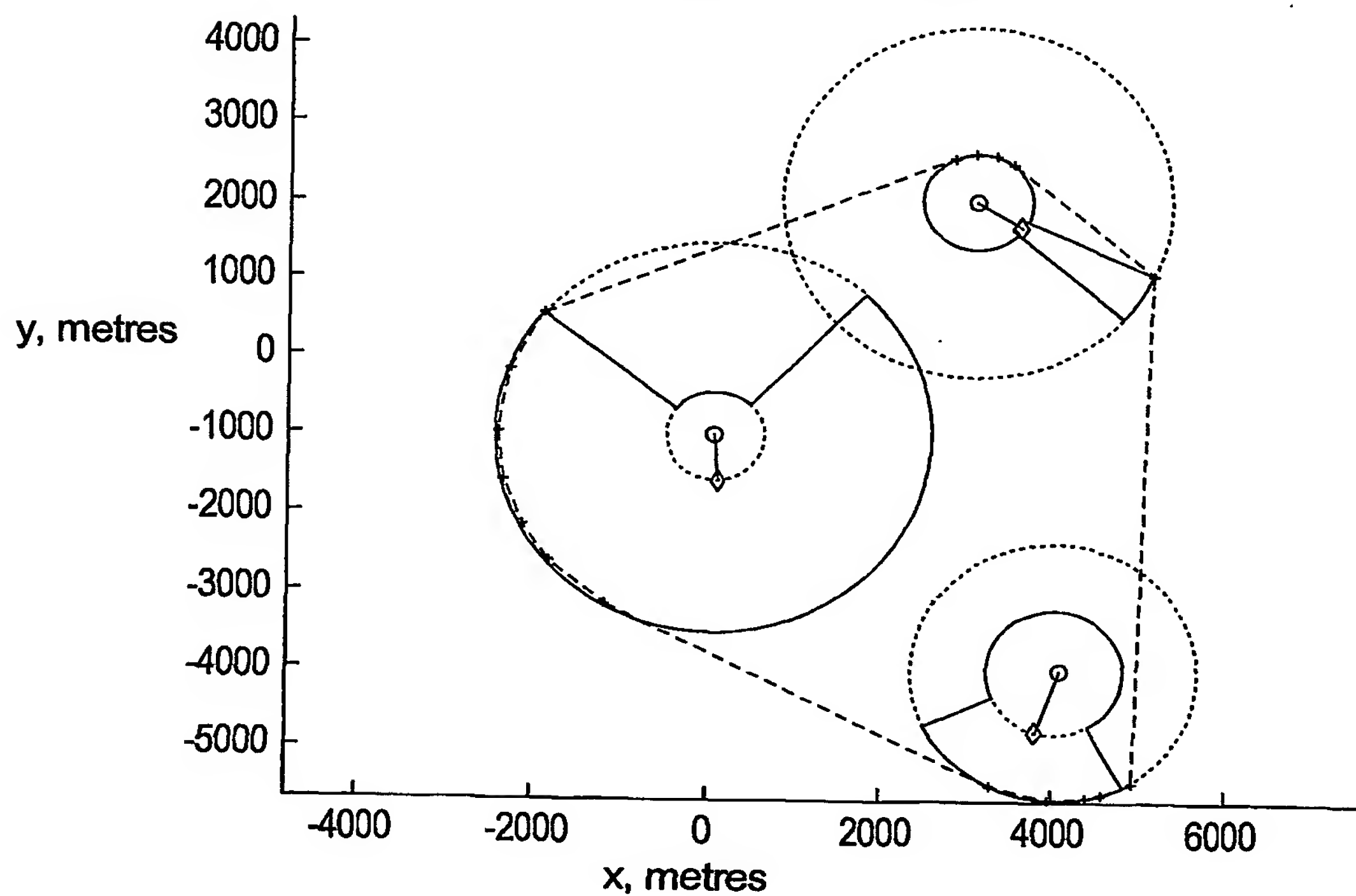
**Fig. 7b**

7/9

Location Method: Multi Cell. Confidence Region: Polygon

**Fig.8**

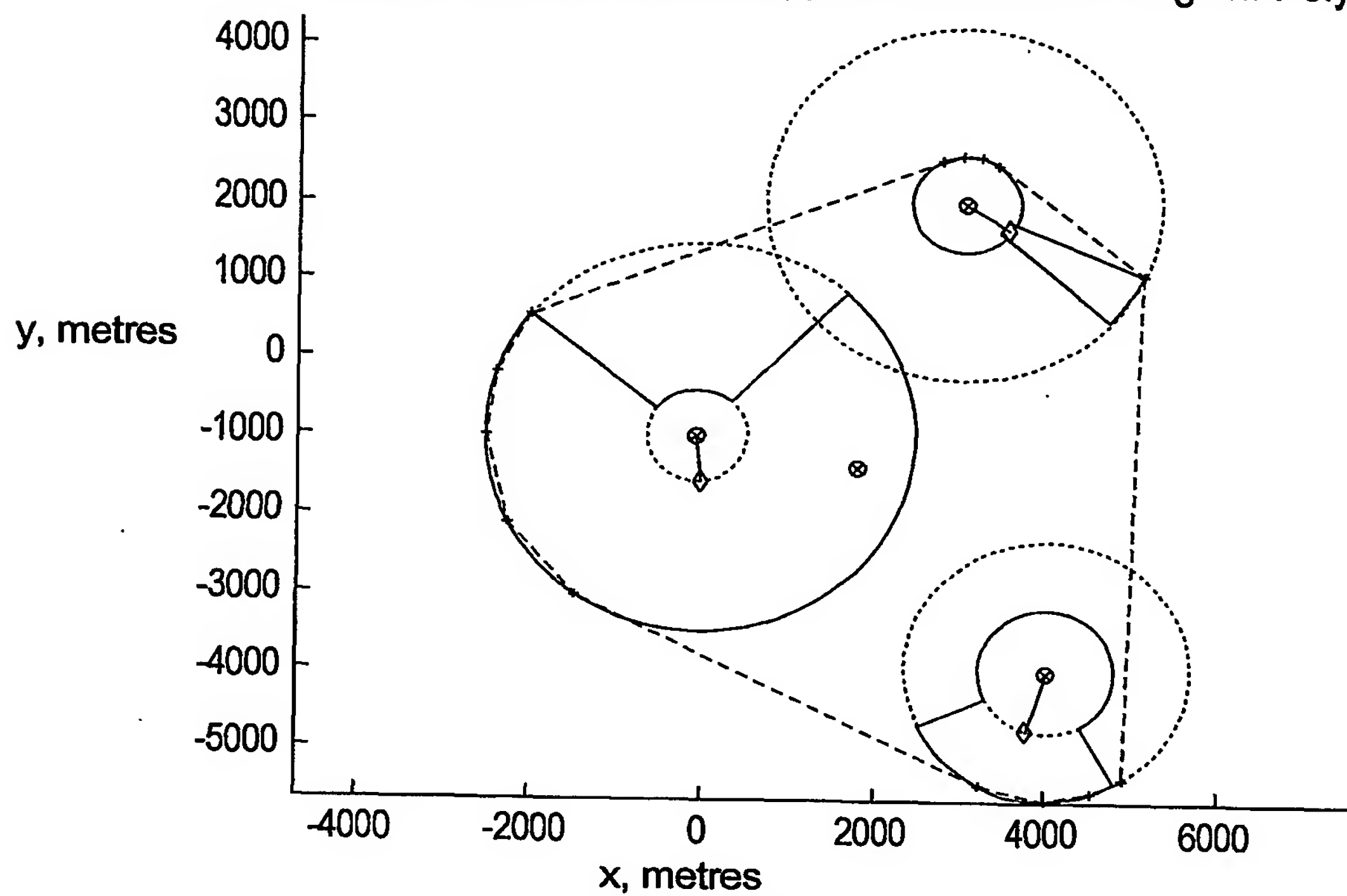
Polygon enclosing the cells

**Fig.9**

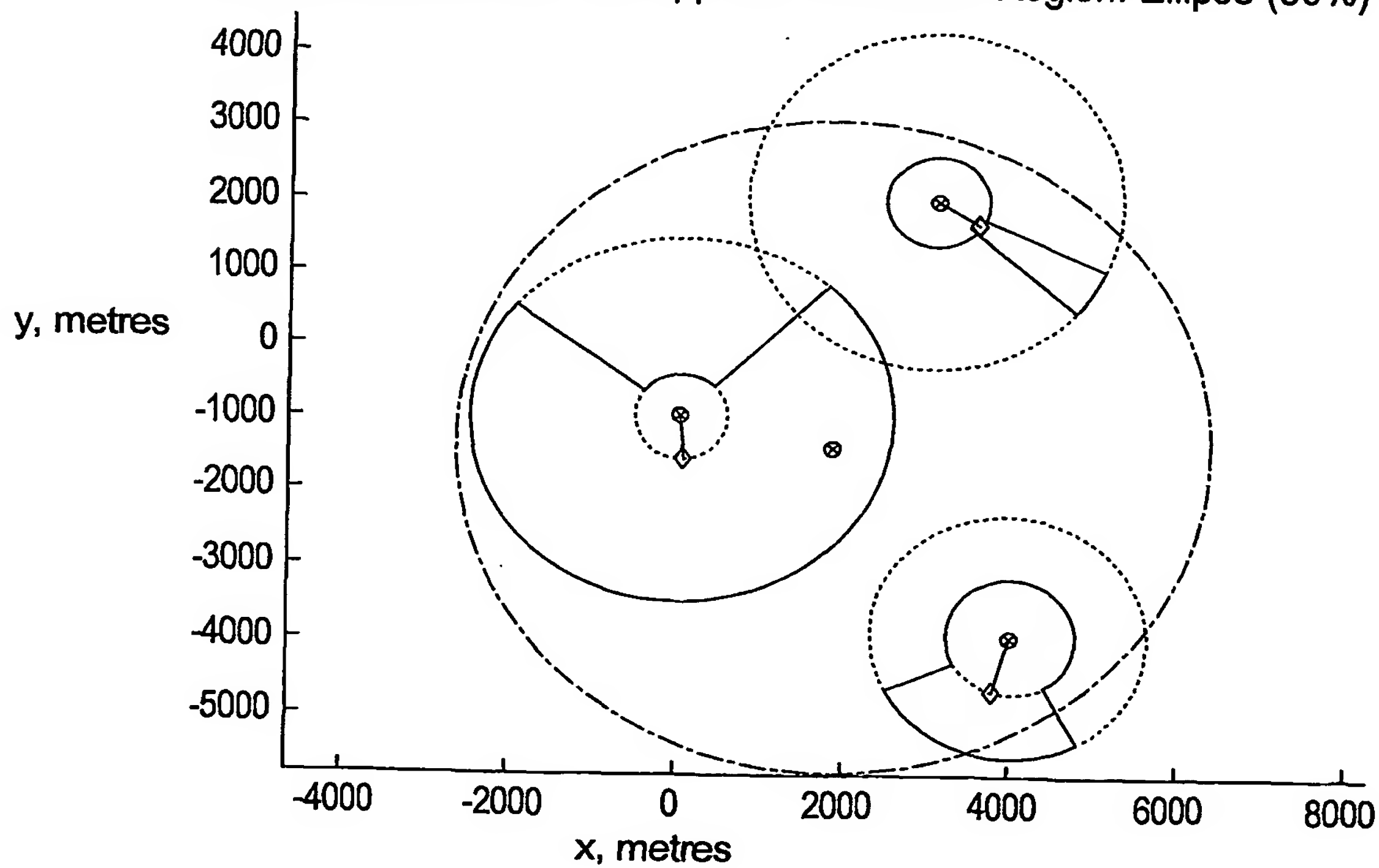


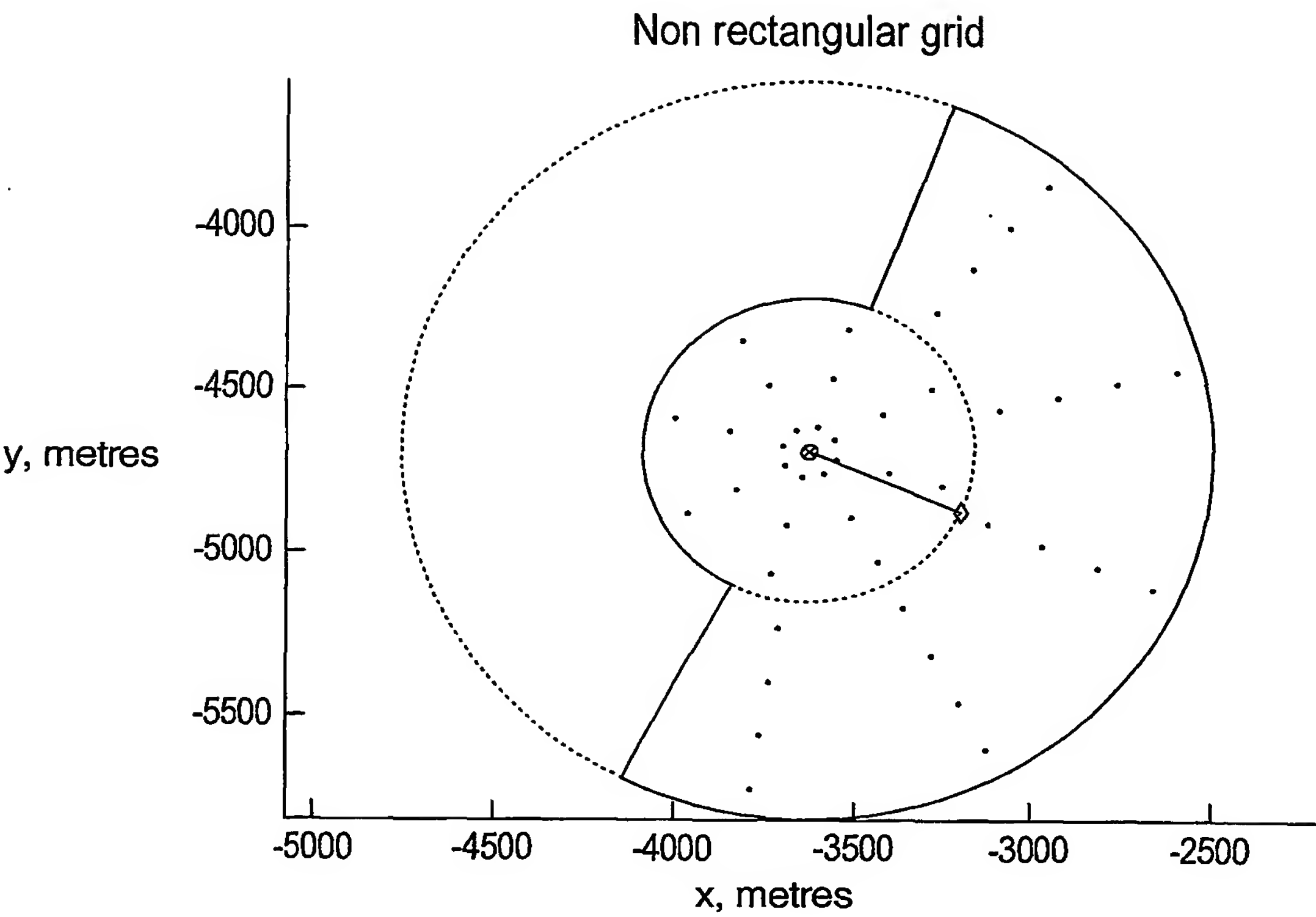
8/9

Location Method: M. Cell Approx. Confidence Region: Polygon

**Fig. 10a**

Location Method: M. Cell Approx. Confidence Region: Ellipse (80%)

**Fig. 10b**



**Fig. 11**

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
1 April 2004 (01.04.2004)

PCT

(10) International Publication Number  
**WO 2004/028170 A3**

(51) International Patent Classification<sup>7</sup>: **H04Q 7/38**

(21) International Application Number:  
PCT/IB2003/004331

(22) International Filing Date:  
3 September 2003 (03.09.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
0222045.7 23 September 2002 (23.09.2002) GB

(71) Applicant (for all designated States except US): **NOKIA CORPORATION** [FI/FI]; Keilalahdentie 4, FIN-02150 ESPOO (FI).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **SPIRITO, Maurizio** [IT/FI]; Apollonkatu 4 A 19, FIN-00100 Helsinki (FI).

(74) Agents: **SLINGSBY, Philip, Roy et al.**; Page White & Farrer, 54 Doughty Street, London WC1N 2LS (GB).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,

CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

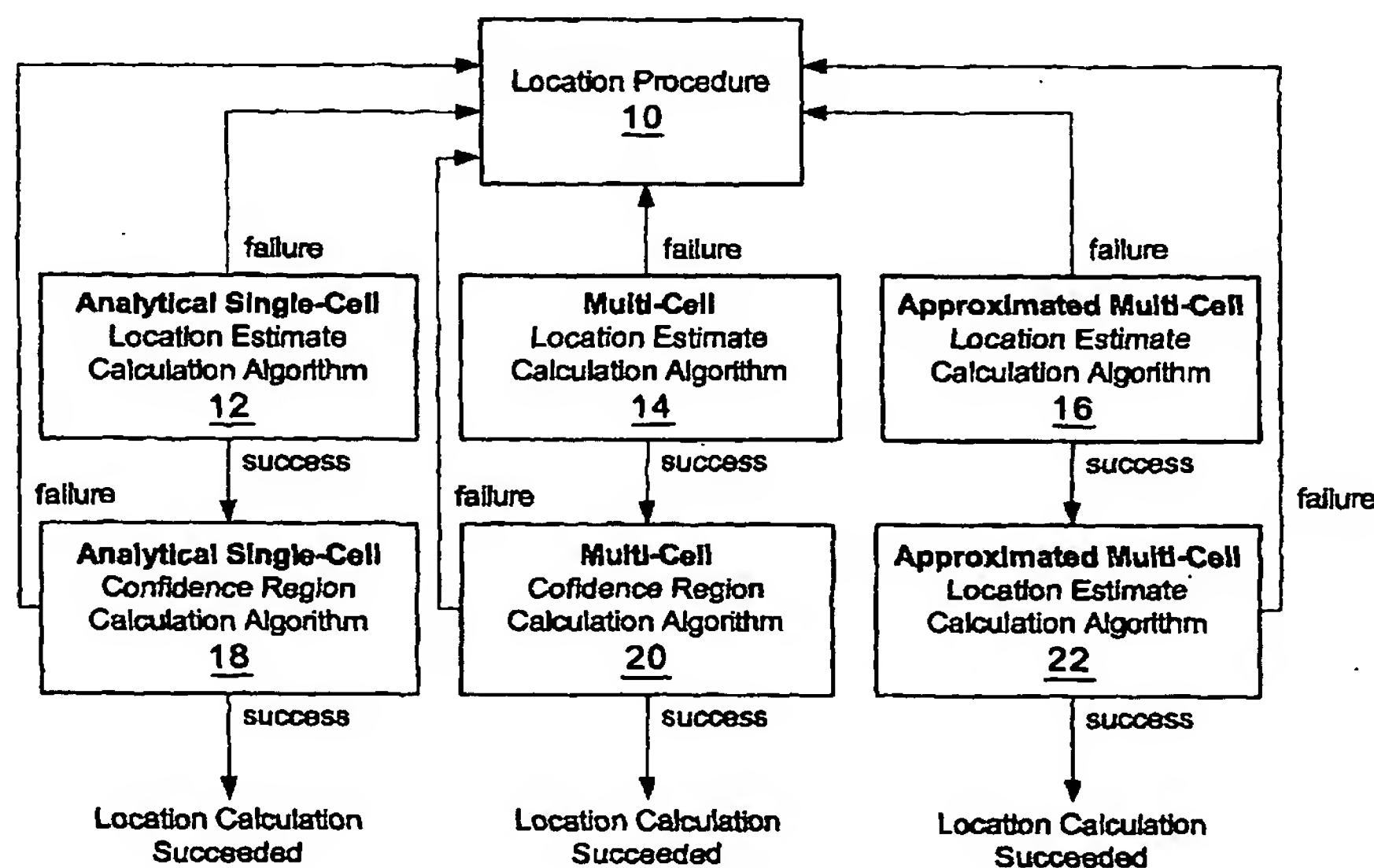
**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(88) Date of publication of the international search report:  
14 April 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: A METHOD AND A LOCATION MODULE APPARATUS FOR LOCATING A MOBILE TERMINAL



(57) Abstract: A method for locating a mobile terminal in a communications network, the method comprising the steps of: applying one of a plurality of available methods to estimate a location of the mobile terminal; and applying one of a plurality of available methods to calculate a region around the estimated location in which the mobile terminal could be located.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 2003/004331

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04Q 7/38

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6321092 B1 (JAMES FITCH ET AL), 20 November 2001 (20.11.2001), column 2, line 42 - column 3, line 47; column 5, line 18 - column 7, line 30; column 7, line 42 - column 8, line 22, column 8, line 56 - column 9, line 16; column 9, line 56 - column 10, line 18; column 10, line 58 - column 11, line 8; figure 1,2,3A-JE; abstract	1-5,7-9, 11-14,17-30
Y	--	6
X	WO 0131965 A1 (TELEFONAKTIEBOLAGET LM ERICSSON (PUBL)), 3 May 2001 (03.05.2001), page 3, line 23 - page 4, line 19; page 10, line 19 - line 38, figure 1; abstract	1-5,7-9, 11-14,17-30
Y	--	6

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

* Special categories of cited documents:	"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

21 February 2005

Date of mailing of the international search report

22-02-2005

Name and mailing address of the ISA/  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Peter Hedman/MN  
Telephone No. +46 8 782 25 00

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB 2003/004331

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 20020039905 A1 (JEAN-GABRIEL REMY), 4 April 2002 (04.04.2002), [0016]-[0024]; [0028]-[0029]; [ 0094]-[0096]; figure 2-5; abstract  --	1-4,9-11, 15-28
X	US 6212392 B1 (JAMES A. FITCH ET AL), 4 March 2001 (04.03.2001), column 1, line 65 - column 2, line 24; column 7, line 36 - column 8, line 17; column 8, line 42 - line 64, abstract  --	1-9,11-14, 20,21-25,29
A	US 5930717 A (GEORGE P. YOST ET AL), 27 July 1999 (27.07.1999), column 1, line 46 - column 2, line 45; column 3, line 37 - column 4, line 36, figures 2,3, abstract  -- -----	1-4,11,12, 17-19,21-25, 29

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 2003/004331

US	6321092	B1	20/11/2001	AU	1339400	A	22/05/2000
				BR	9914975	A	02/04/2002
				CA	2349470	A	11/05/2000
				EP	1133883	A	19/09/2001
				IL	142874	D	00/00/0000
				US	20020077119	A	20/06/2002
				WO	0027143	A	11/05/2000
-----							
WO	0131965	A1	03/05/2001	AU	1321601	A	08/05/2001
				SE	9903918	A	28/06/2001
-----							
US	20020039905	A1	04/04/2002	EP	1193994	A	03/04/2002
				FR	2814898	A,B	05/04/2002
-----							
US	6212392	B1	04/03/2001	AU	3502500	A	14/09/2000
				BR	0008495	A	24/09/2002
				CA	2367970	A	31/08/2000
				CN	1346549	T	24/04/2002
				EP	1155514	A	21/11/2001
				IL	145098	D	00/00/0000
				US	20020028682	A	07/03/2002
				WO	0051267	A	31/08/2000
-----							
US	5930717	A	27/07/1999	AU	8677998	A	22/02/1999
				BR	9810824	A	25/07/2000
				CA	2297049	A	11/02/1999
				JP	2001512940	T	28/08/2001
				TW	405042	B	00/00/0000
				WO	9907177	A	11/02/1999
				ZA	9806432	A	03/02/1999
-----							



## PATENT COOPERATION TREATY

## PCT

REC'D 20 APR 2005

WIPO

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 301608woprs/aec	FOR FURTHER ACTION See Form PCT/IPEA/416	
International application No. PCT/IB2003/004331	International filing date (day/month/year) 03-09-2003	Priority date (day/month/year) 23-09-2002
International Patent Classification (IPC) or national classification and IPC H04Q 7/38		
Applicant NOKIA CORPORATION ET AL		

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 6 sheets, including this cover sheet.

3. This report is also accompanied by ANNEXES, comprising:

a. ☐ (sent to the applicant and to the International Bureau) a total of \_\_\_\_\_ sheets, as follows:

☐ sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).

☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.

b. ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) \_\_\_\_\_, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).

4. This report contains indications relating to the following items:

<input checked="" type="checkbox"/>	Box No. I	Basis of the report
<input type="checkbox"/>	Box No. II	Priority
<input type="checkbox"/>	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
<input type="checkbox"/>	Box No. IV	Lack of unity of invention
<input checked="" type="checkbox"/>	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
<input type="checkbox"/>	Box No. VI	Certain documents cited
<input type="checkbox"/>	Box No. VII	Certain defects in the international application
<input checked="" type="checkbox"/>	Box No. VIII	Certain observations on the international application

Date of submission of the demand 23-04-2004	Date of completion of this report 13-04-2005
Name and mailing address of the IPEA/SE Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM Facsimile No. +46 8 667 72 88	Authorized officer Peter Hedman /ITW Telephone No. +46 8 782 25 00

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/IB2003/004331

## Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ This report is based on a translation from the original language into the following language \_\_\_\_\_, which is the language of a translation furnished for the purposes of:

- ☐ international search (under Rules 12.3 and 23.1(b))  
☐ publication of the international application (under Rule 12.4)  
☐ international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

☒ the international application as originally filed/furnished

☐ the description:

pages \_\_\_\_\_ as originally filed/furnished

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

☐ the claims:

pages \_\_\_\_\_ as originally filed/furnished

pages\* \_\_\_\_\_ as amended (together with any statement) under Article 19

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

☐ the drawings:

pages \_\_\_\_\_ as originally filed/furnished

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

☐ a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.

3. ☐ The amendments have resulted in the cancellation of:

☐ the description, pages \_\_\_\_\_

☐ the claims, Nos. \_\_\_\_\_

☐ the drawings, sheets/figs \_\_\_\_\_

☐ the sequence listing (*specify*): \_\_\_\_\_

☐ any table(s) related to the sequence listing (*specify*): \_\_\_\_\_

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

☐ the description, pages \_\_\_\_\_

☐ the claims, Nos. \_\_\_\_\_

☐ the drawings, sheets/figs \_\_\_\_\_

☐ the sequence listing (*specify*): \_\_\_\_\_

☐ any table(s) related to the sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Claims	<u>6, 10, 12, 20, 26-28</u>	YES
	Claims	<u>1-5, 7-9, 11, 13-19, 21-25, 29, 30</u>	NO
Inventive step (IS)	Claims		YES
	Claims	<u>1-30</u>	NO
Industrial applicability (IA)	Claims	<u>1-30</u>	YES
	Claims		NO

**2. Citations and explanations (Rule 70.7)**

What is claimed is a method and a location module apparatus for calculating a region around an estimated location in which a mobile terminal can be located. The chosen region determines the present accuracy of the location of a mobile terminal.

**Cited Document:**

D1: US 6 321 092 B1  
D2: WO 01/31965 A1  
D3: US 2002/0039905 A1

In D1 a method for locating a mobile terminal in a communication network is presented, wherein the location of a mobile terminal is estimated, using the best or most appropriate of a variety of available location methods. Alternatively, a combination of a plurality of location methods may be chosen. Based on the location information an uncertainty region, such as an arc or a circle, is retrieved by utilising one, out of a plurality of available methods (See abstract; column 2, line 42-column 3, line 47; column 5, line 18-column 7, line 30; column 7, line 42-column 8, line 22; column 8, line 56-column 9, line 16; column 9, line 56-column 10, line 18; column 10, line 58-column 11, line 8; figure 1, 3A-3E).

D2 refers to a multiple source location method, wherein location information with different resolution is retrieved from sources of different kind. Initially the most recent location is chosen, and as long as a predetermined condition is met, location information providing even better resolution is retrieved successively (See page 3, line 23-page 4, line 19; page 10, line 19-38; figure 1; abstract).

.../...

**Box No. VIII Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

Claims 1, 5, 9 and 30 do not meet the requirements of Article 6 PCT in that the matter for which protection is sought is not clearly defined. The following functional statements do not enable the skilled person to determine which technical features are necessary to perform the stated functions:

- 1) No methods for calculating a region is suggested.  
Consequently, the expression "...available methods..." is vague and unclear.
- 2) No conditions upon which to apply one of a number of available methods are suggested. The criteria for selecting between a plurality of methods therefore is unclear.

Claim 6 and 10 fail to meet the requirements of Article 6 for the following reason:

No conditions for stating an unsuccessful estimation process is suggested. These claims therefore are vague and unclear.

The rule mentioned in claim 14, as well as "...the step of modelling a cell..." mentioned in claims 15 and 16 are vague and unclear and leave the reader in doubt as to the meaning of the technical features to which the mentioned expressions refer, thereby rendering the definition of the subject-matter of said claims unclear (Article 6 PCT).

## Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: BOX V (I)

D3 discuss a positioning process of a mobile station, wherein a geographic representation of a cell is modelled and an uncertainty area, with a predetermined geometric shape is calculated. (See [0016]-[0024]; [0028]-[0029]; [0094]-[0096]; abstract; figure 2-5).

Consequently, the subject matter of claims 1-5, 7, 9, 11, 13, 14, 29 and 30 is previously known from both D1 and D2 alone, while the subject matter of claims 1-4, 9, 11 and 29 also is previously known from D3. Therefore, these claims are not novel.

The invention according to claim 6 differs from the method in D1 in that D1 fails to discuss a method in which two or more location methods are selected sequentially.

Due to these features, an improved accuracy of the obtained location may be achieved.

Consequently, with the background of D1, the problem is to derive a location result with a desired accuracy. From D2 a multiple source location method, in which the results derived from different location methods may be chosen is already known. Both D1 and D2 relate to the same technical field. No unexpected technical effect can be identified from a combination of these two documents, especially since it is unclear on what criteria the proposed sequential selection of location methods is based upon. For these reasons claim 6 of the claimed invention fails to involve an inventive step.

Claims 8 and 17-19 only express details which in this particular context are already commonly known to any person skilled in the art. Consequently, also these claims fail to describe a novel invention.

Furthermore, D3 suggests a number of varieties to choose from when selecting a method for the calculation of a region. To choose from a number of alternatives until some predetermined conditions are fulfilled, as suggested in claim 10 of the claimed invention, is considered as an obvious step to be taken by any person skilled in the art.

For this reason claim 10 fails to involve an inventive step. Especially since no specific conditions or criteria for specifying a successful calculating method are suggested in the claim.

.../...



## Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: BOX V (II)

Without any further specification, claims 12 and 20 merely suggest a practising of obvious measures when calculating a region with a certain probability, or calculating a mass centre, respectively. Considering this and what is already known from D1-D3, also claim 12 and 20 fail to involve an inventive step.

In D3 a modelled geographic representation of a cell is calculated (See abstract). Claim 15 and 16 fail to further specify any distinguished modelling steps. Consequently, claims 15 and 16 both fail to disclose a novel invention.

Claims 21-25 merely suggest various assumptions, which only comprise known alternatives for the person skilled in the art. Therefore claims 21-25 also fail to disclose a novel invention.

No unexpected technical effect would be achieved from simply applying the method known from D1-D3 in any of the commonly known contexts of claim 26, 27 or 28. For this reason these claims fail to involve an inventive step.

To sum up, claims 1-5, 7-9, 11, 13-19, 21-25, 29 and 30 fail to describe a novel invention, while claims 6, 10, 20 and 26-29 describe a novel invention, but fail to involve an inventive step. All claims are industrially applicable.